

*ISCBacademy webinar – September 30, 2020*

# RAxML-NG: a fast, scalable and user-friendly tool for maximum likelihood phylogenetic inference

Alexandros Stamatakis and Alexey Kozlov



Computational Molecular Evolution Group,  
Heidelberg Institute for Theoretical Studies

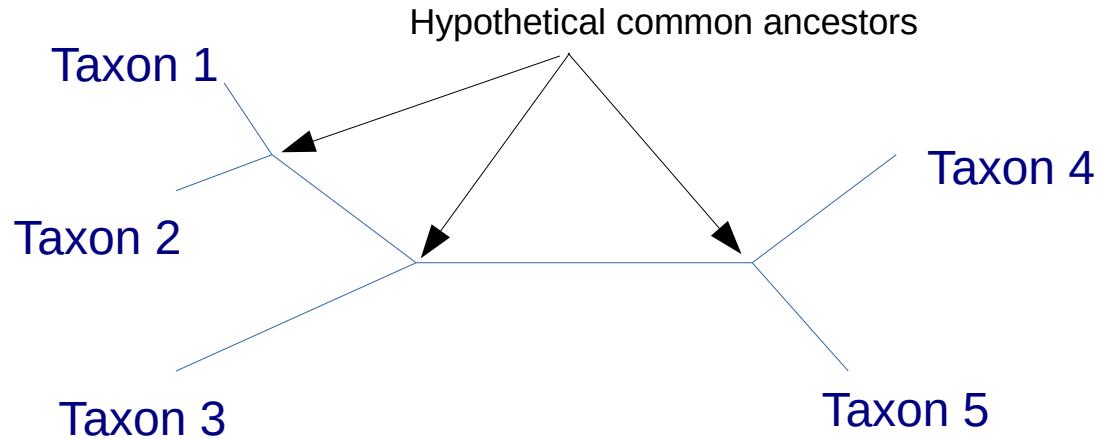
Institute for Theoretical Informatics,  
Karlsruhe Institute of Technology



# Outline

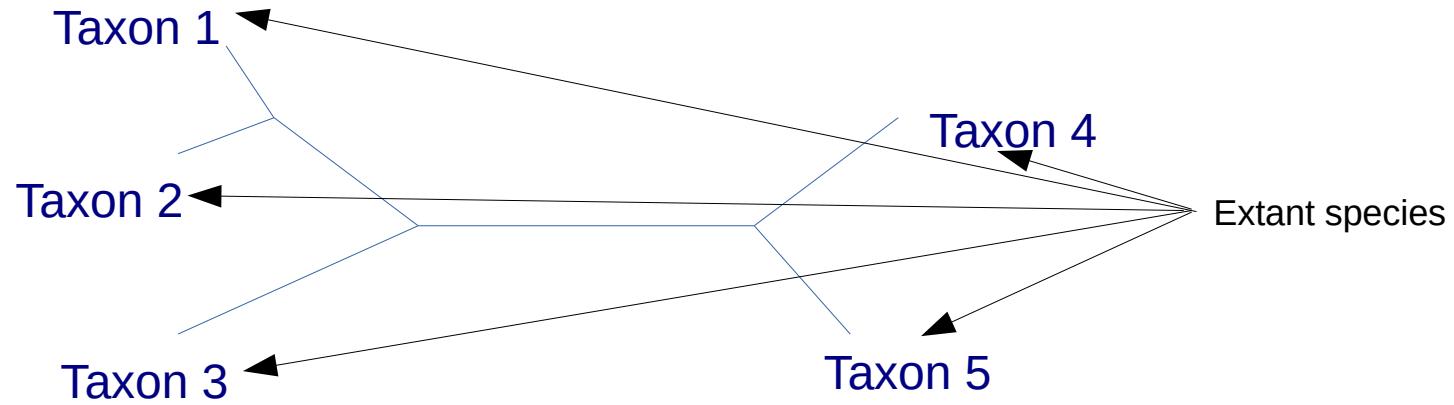
- Introduction to Phylogenetic Inference - *Alexandros*
- The RAxML Search Algorithm - *Alexandros*
- Improvements in RAxML Next Generation - *Alexey*
- Tutorial - *Alexey*

# A phylogeny

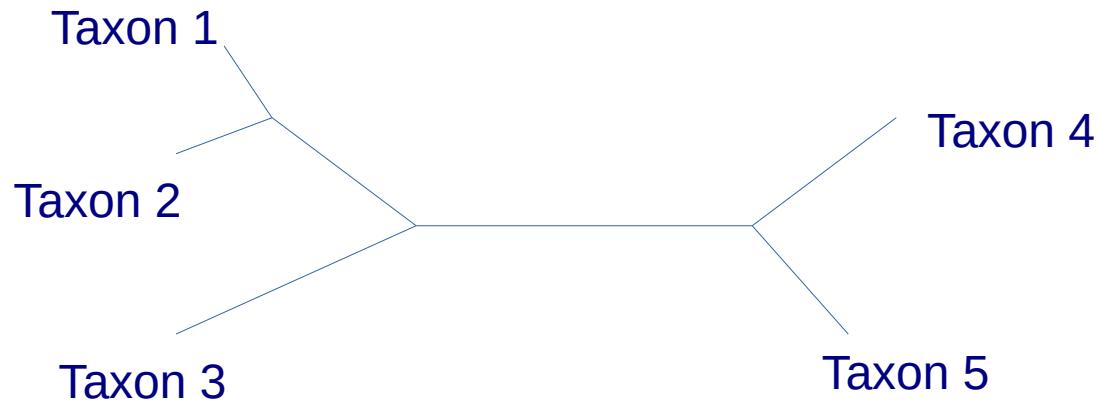


Phylogenies describe evolutionary relationships among species

# A phylogeny

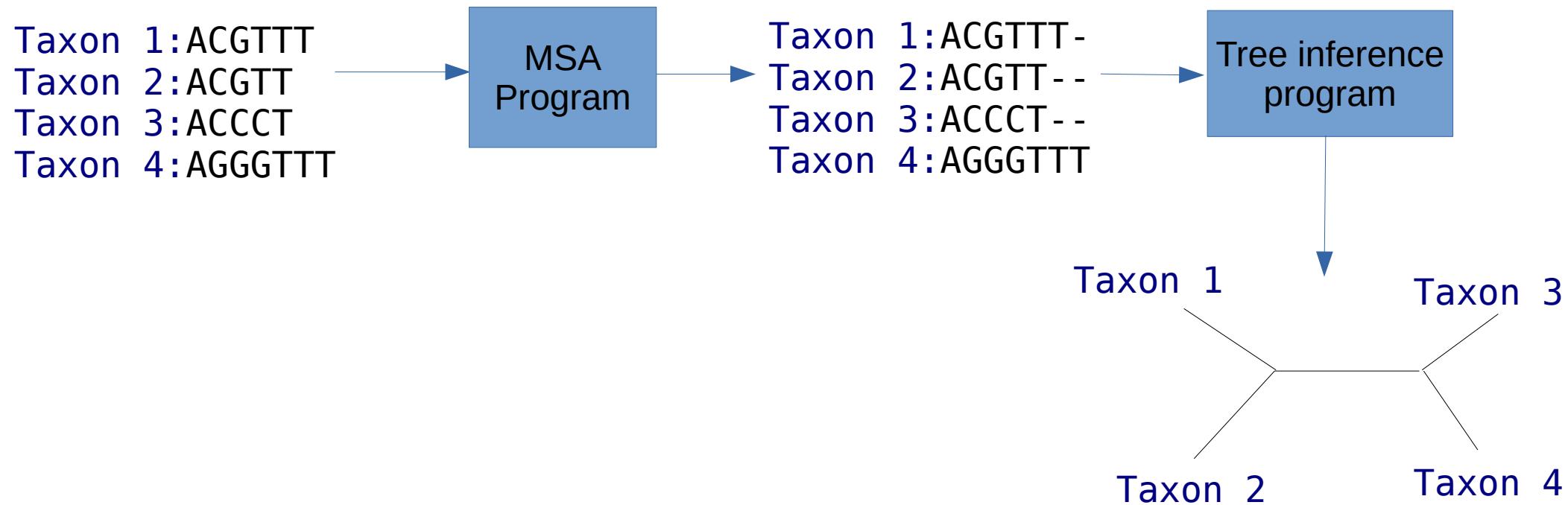


# A phylogeny



**Phylogenetic trees are unrooted binary trees!!!**

# Tree Inference Pipeline



# Tree Inference Pipeline

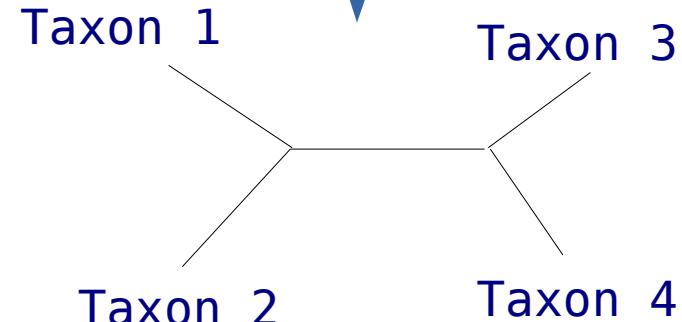
Taxon 1:ACGTTT  
Taxon 2:ACGTT  
Taxon 3:ACCCT  
Taxon 4:AGGGTTT

MSA Program

Taxon 1:ACGTTT-  
Taxon 2:ACGTT--  
Taxon 3:ACCCT--  
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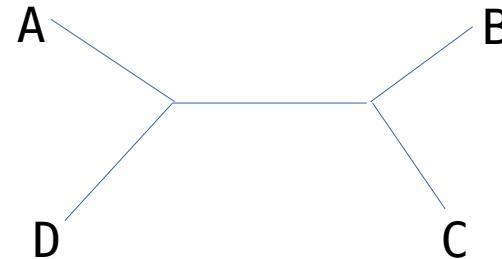
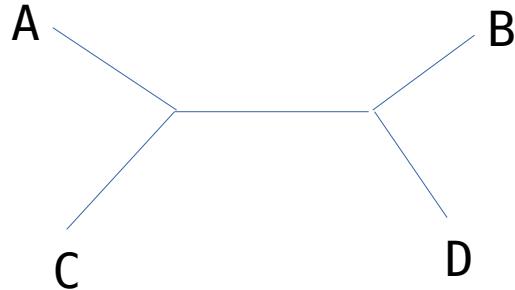
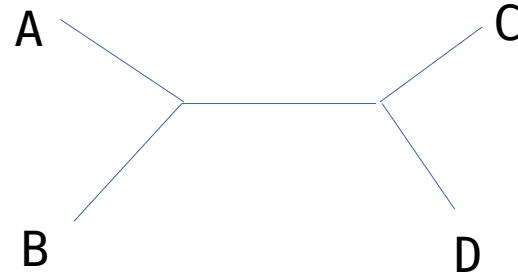
RAxML - NG

Our focus in this talk

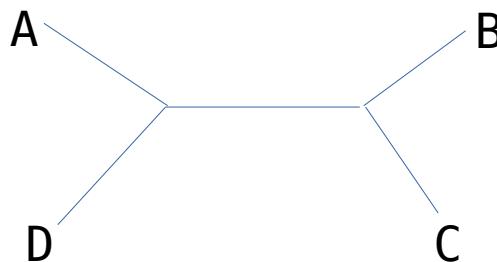
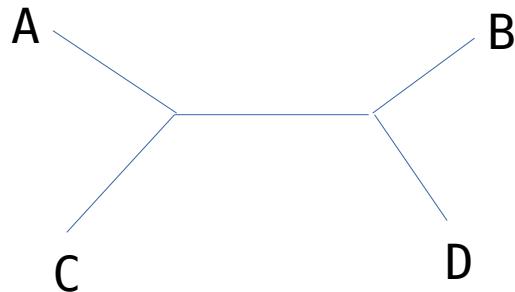
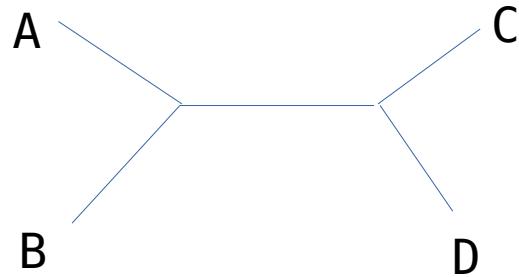


How many unrooted 4-taxon trees exist?

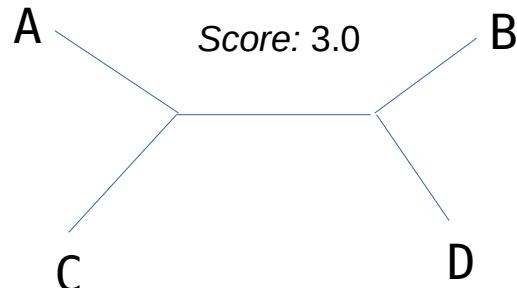
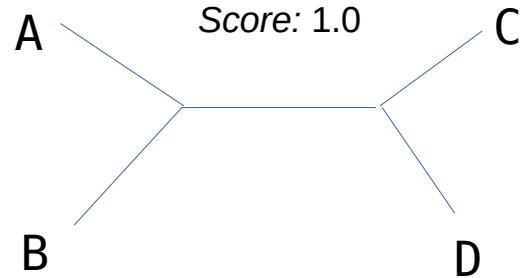
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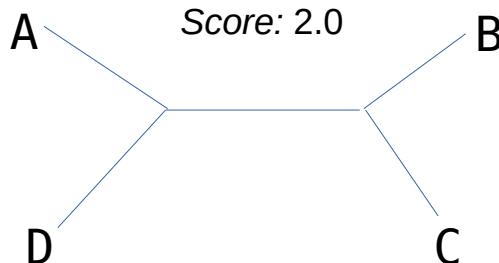
# How do we select among them ?



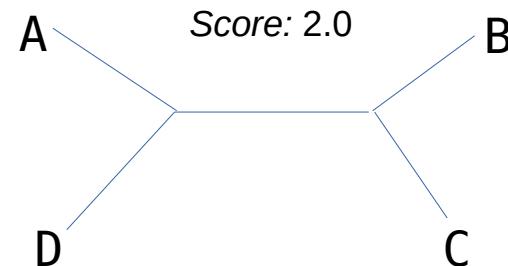
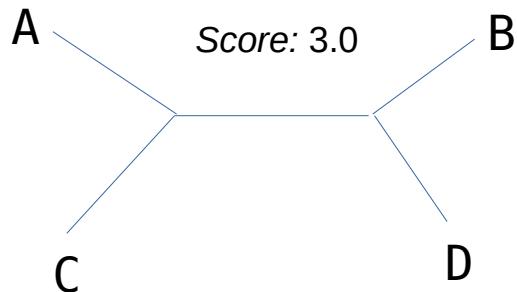
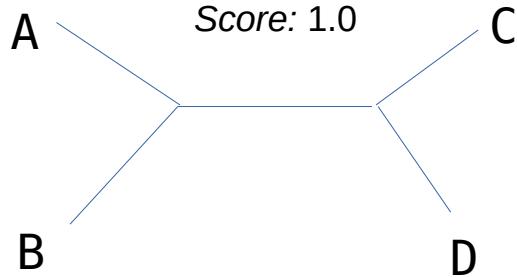
# How do we select among them???



We need **scoring criteria!!!**



# How do we select among them???

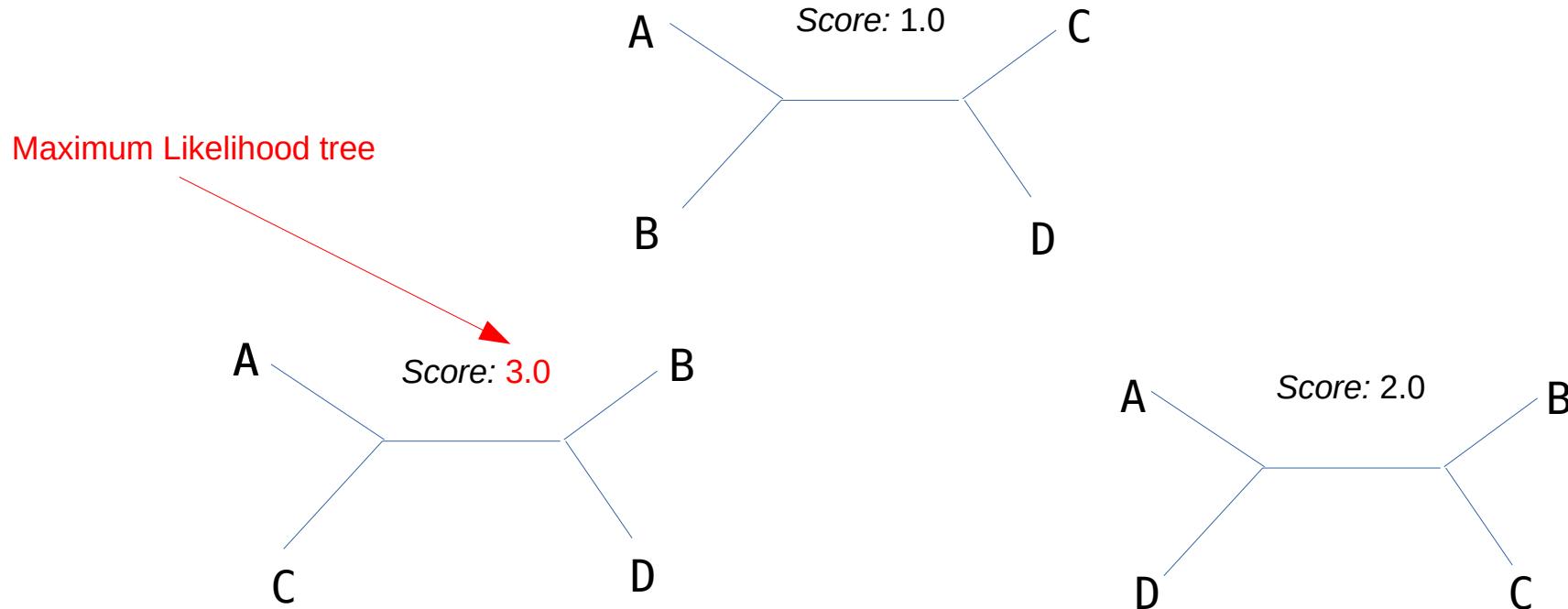


We need **scoring criteria!!!**

The currently most widely used criterion is **maximum likelihood**:

How likely is it that the tree, given a model of evolution, generated the observed data?

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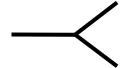


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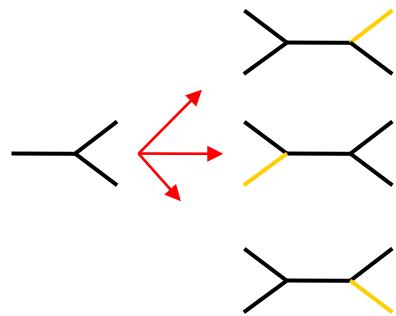
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# The number of trees



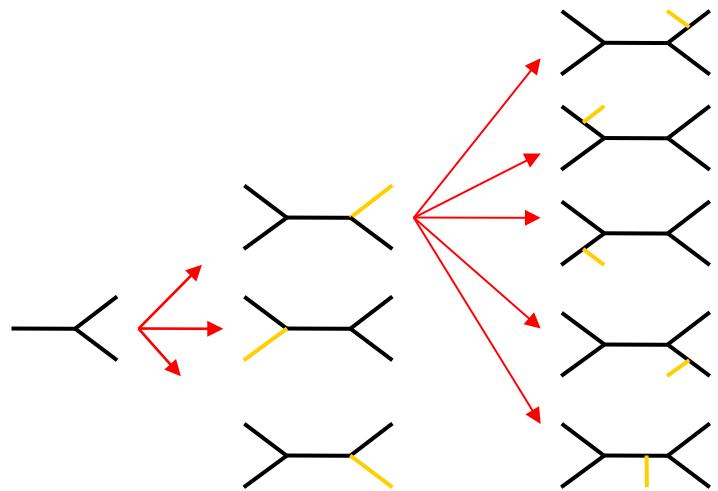
3 taxa → 1 tree

# The number of trees



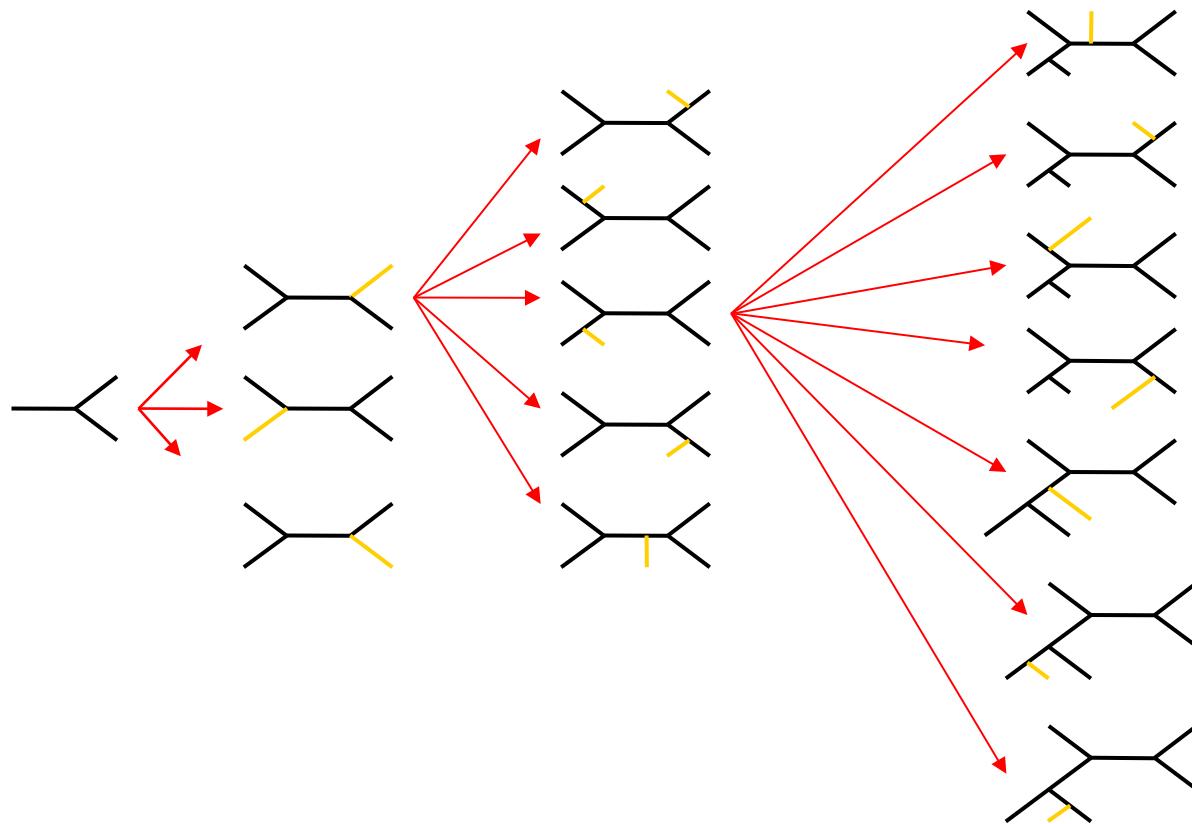
4 taxa → 3 trees

# The number of trees



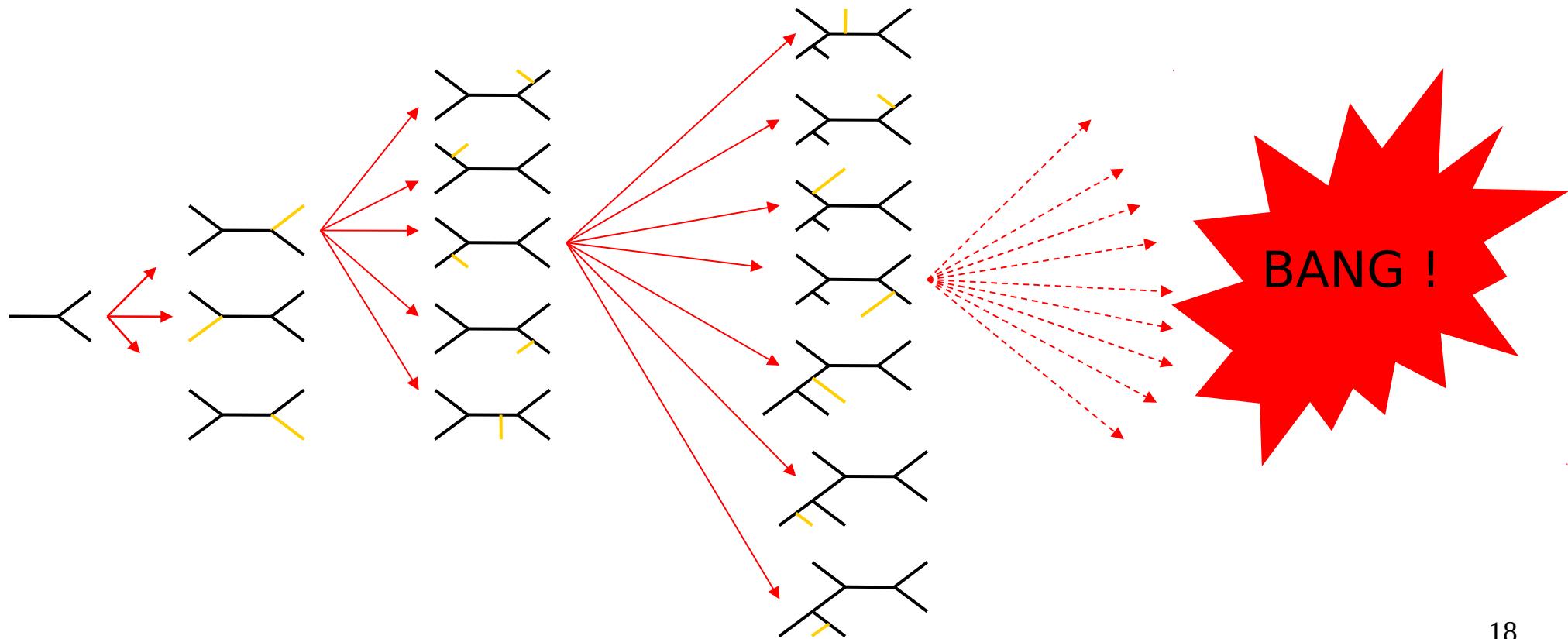
5 taxa → 15 trees

# The number of trees



6 taxa  $\rightarrow$  105 trees

# The number of trees explodes!



# # possible trees with 2000 taxa

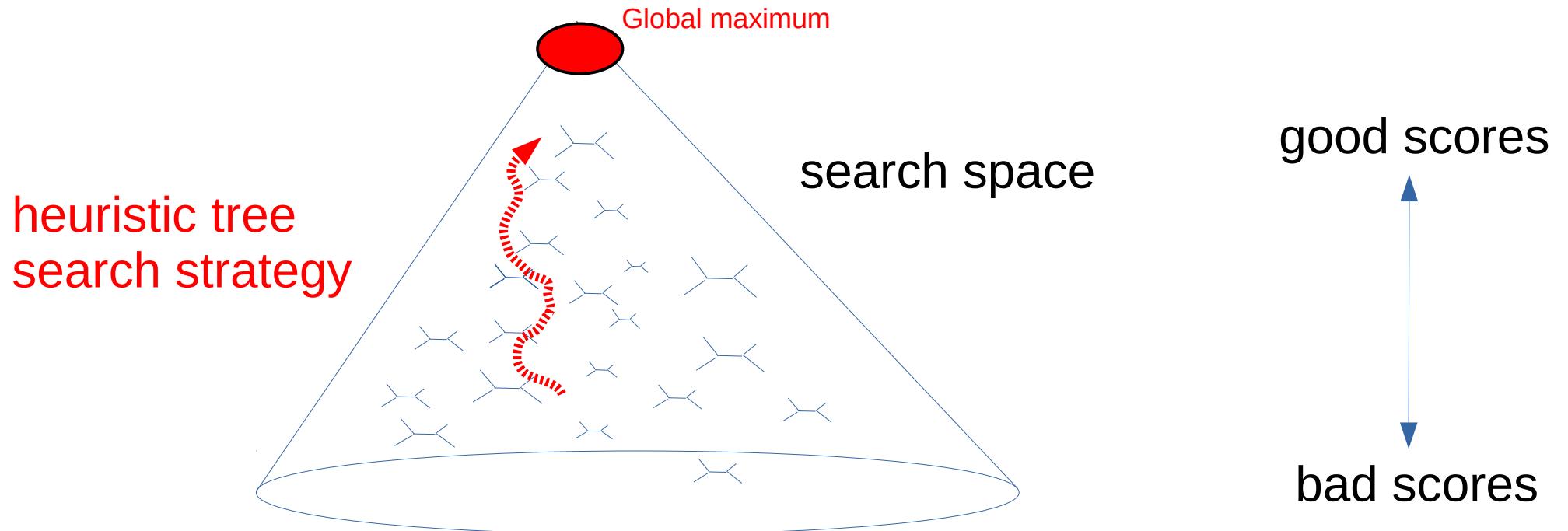
```
stamatak@exelixis:~/Desktop/GIT/TreeCounter$ ./treeCounter -n 2000
```

```
GNU GPL tree number calculator released June 2011 by Alexandros Stamatakis
```

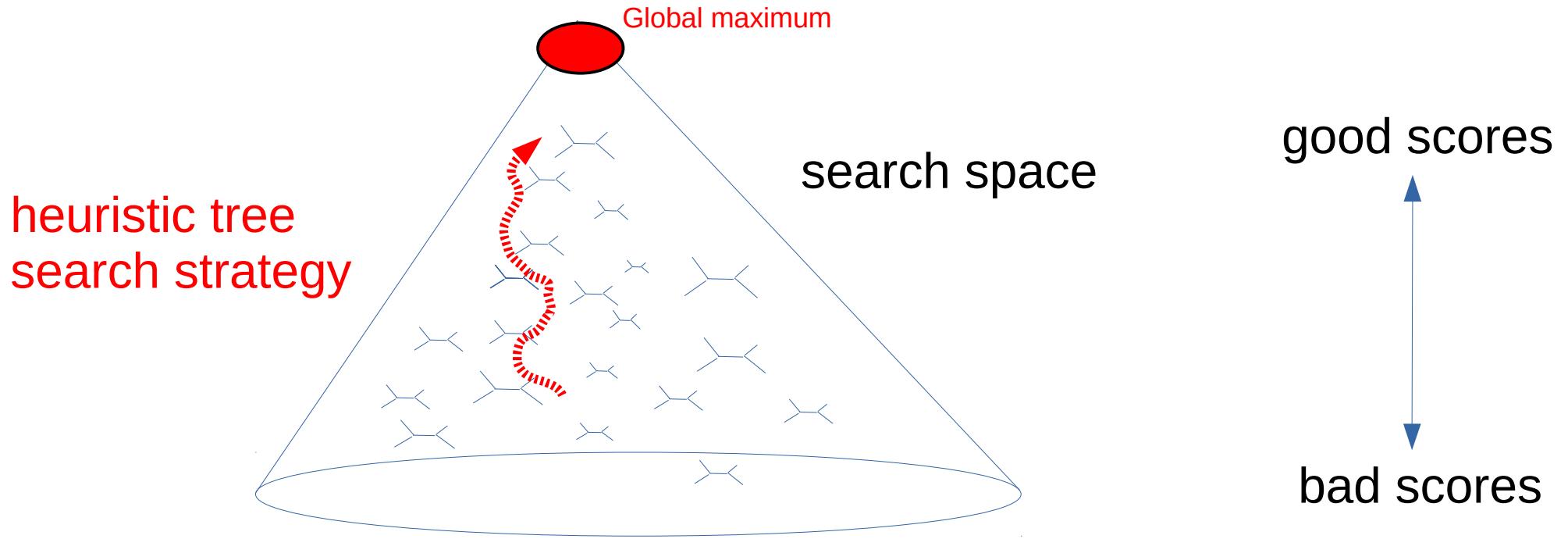
```
Number of unrooted binary trees for 2000 taxa: 30049638174211656151632910065681814981377232074237013089504954043012636525258308210827685996688247000464352735214265634288295  
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350341796875
```

Approximately 3.00 times 10^6328

# Problem Complexity



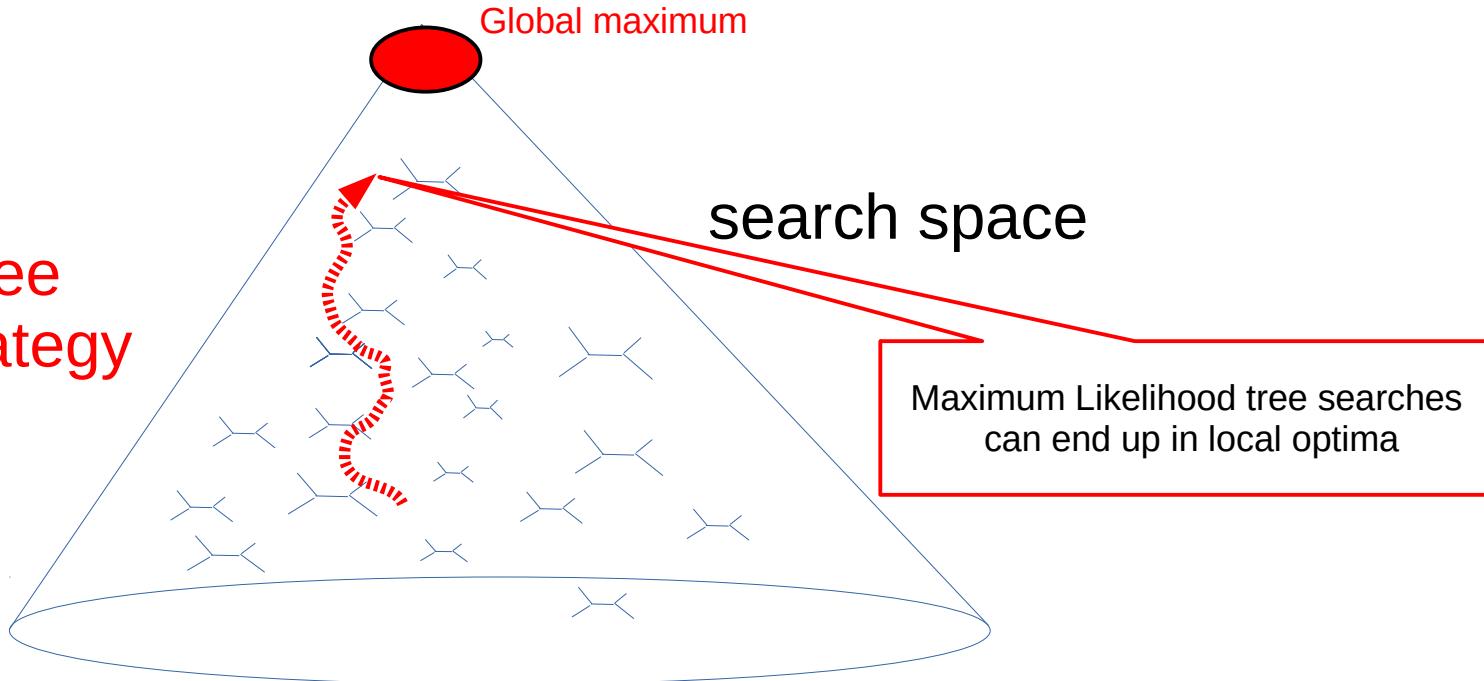
# Problem Complexity



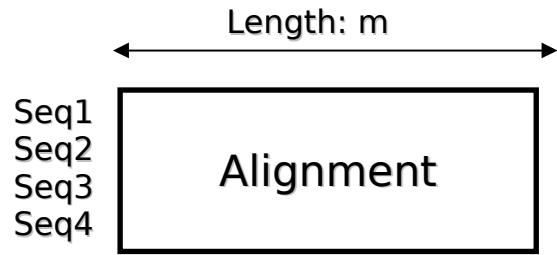
Finding the best tree under Maximum Likelihood is **NP-hard!**

# Problem Complexity

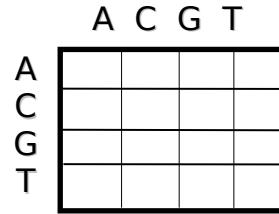
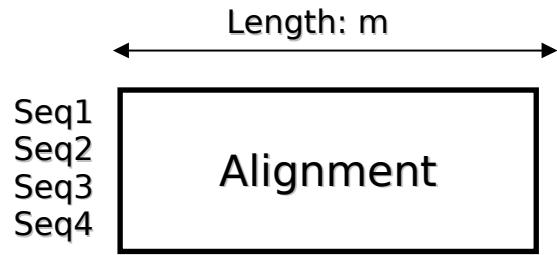
heuristic tree  
search strategy



# Maximum Likelihood

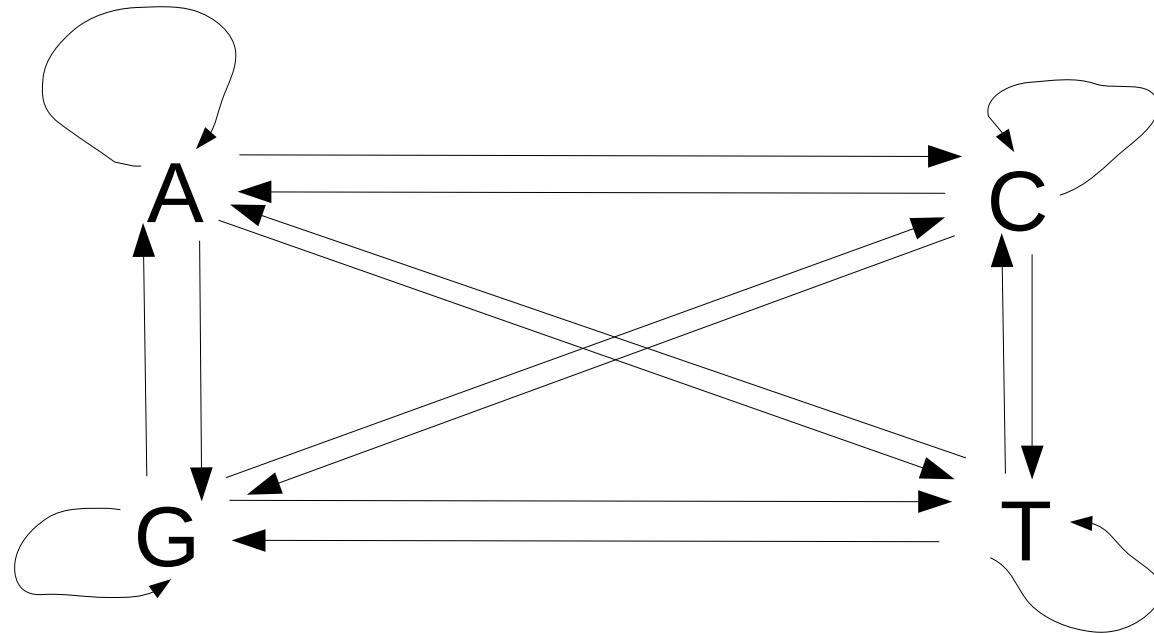


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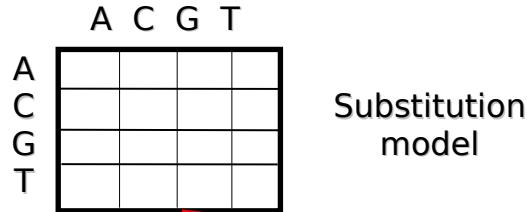
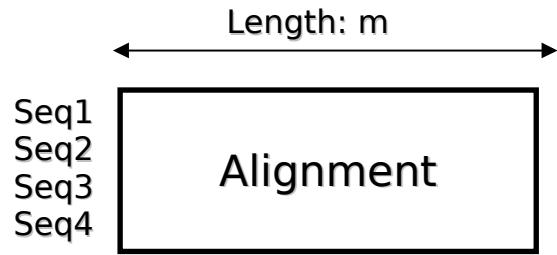
Substitution  
model

# Nucleotide Substitution Models



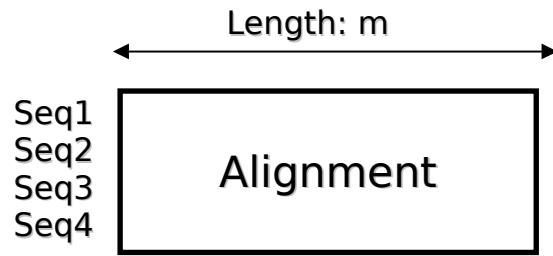
We model evolution as **time-reversible** Markov Process!

# Maximum Likelihood



Commonly denoted as  $Q$  matrix:  
transition probs for time  $dt$ , for time  
 $t$ :  $P(t) = e^{Qt}$

# Maximum Likelihood



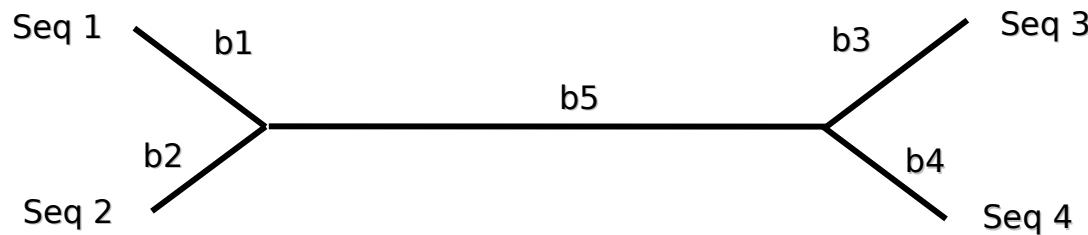
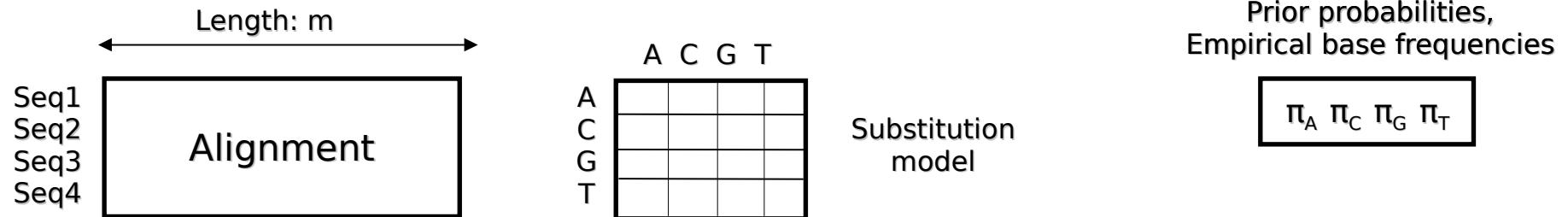
	A	C	G	T
A				
C				
G				
T				

Substitution  
model

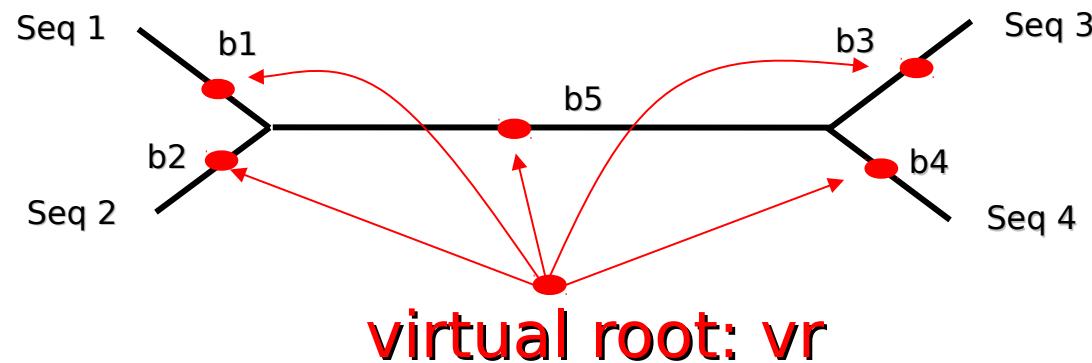
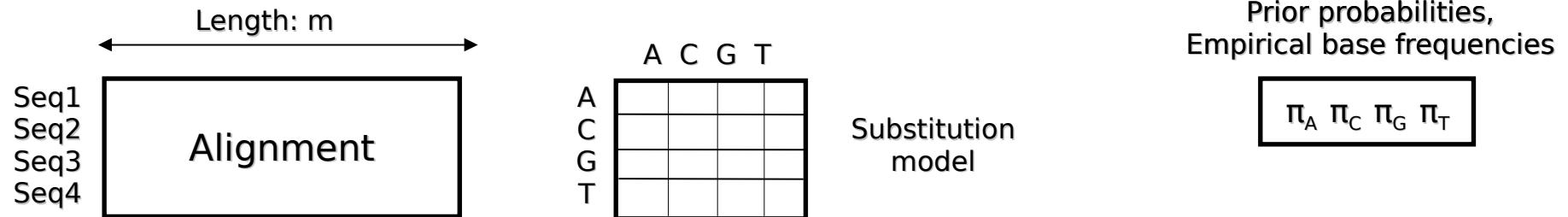
Prior probabilities,  
Empirical base frequencies

$\pi_A \ \pi_C \ \pi_G \ \pi_T$

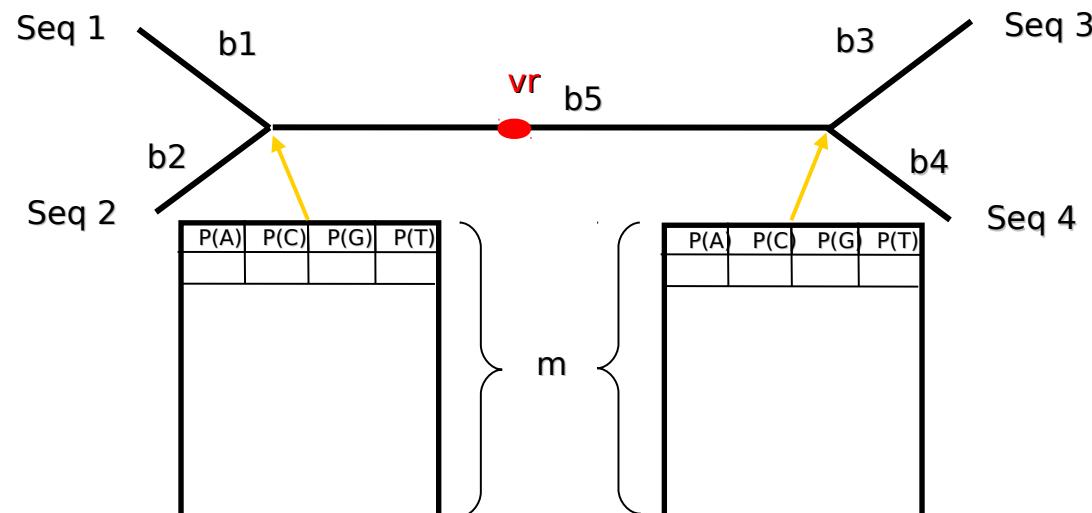
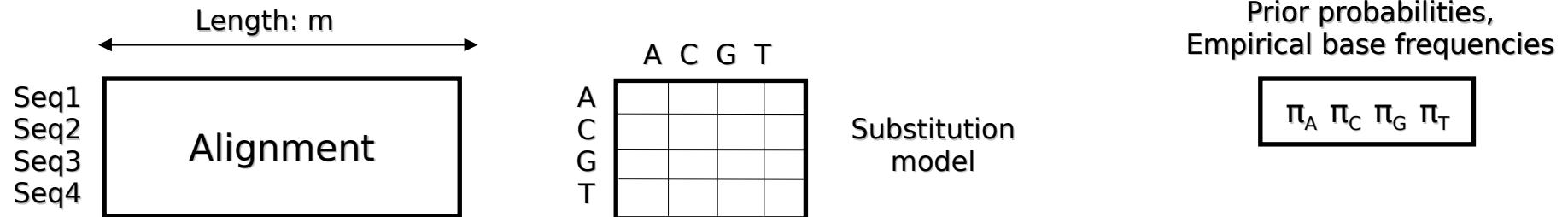
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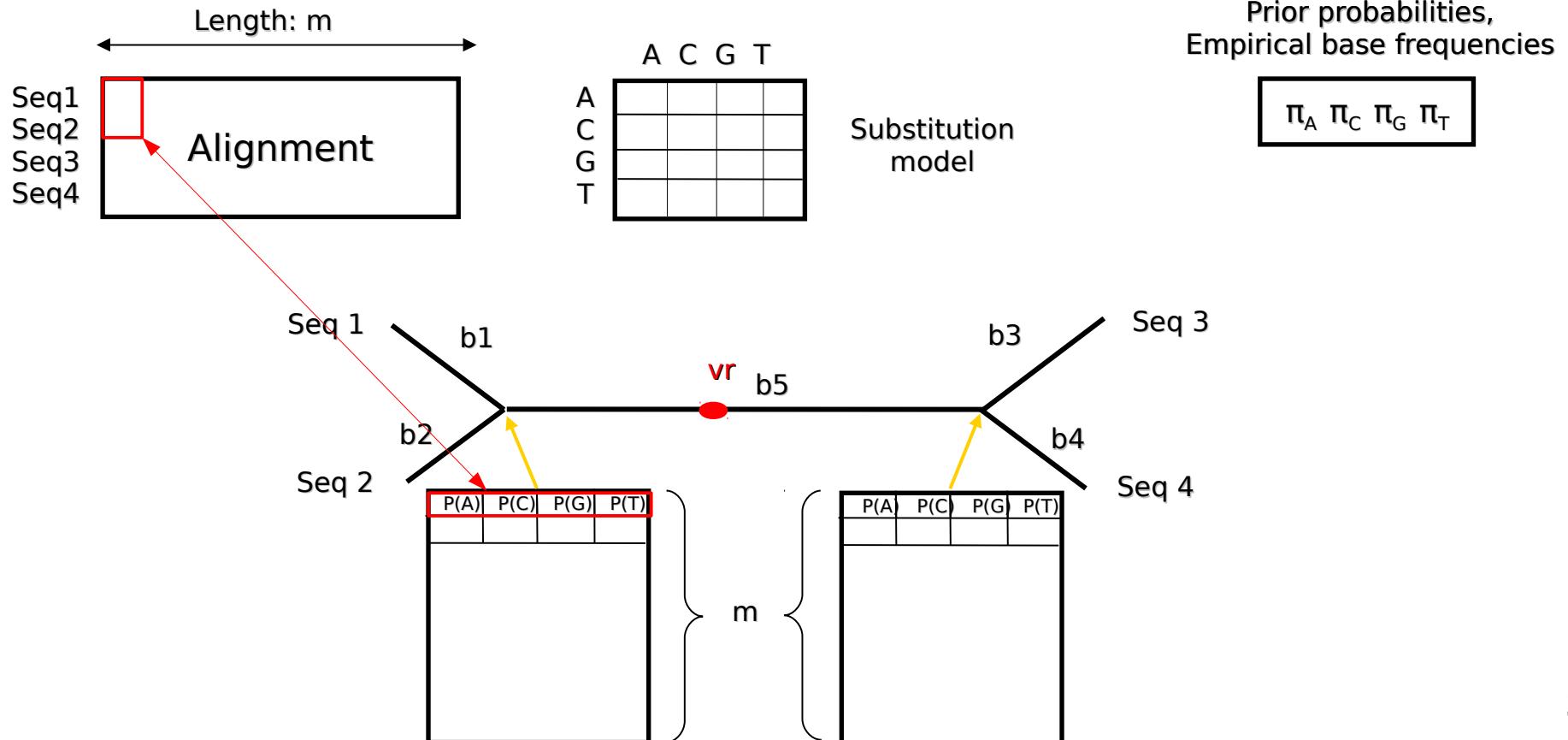
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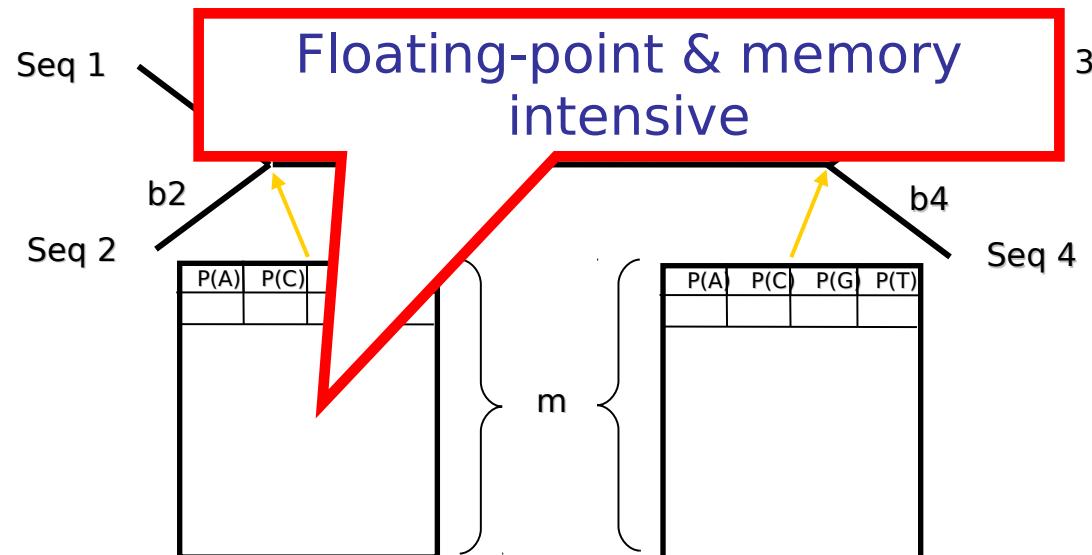
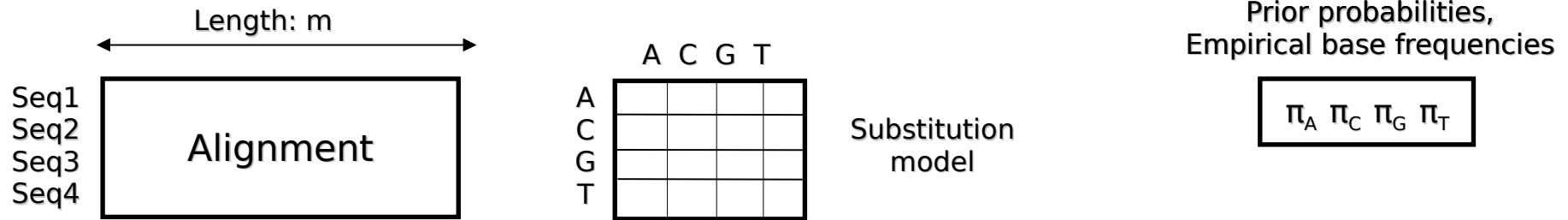
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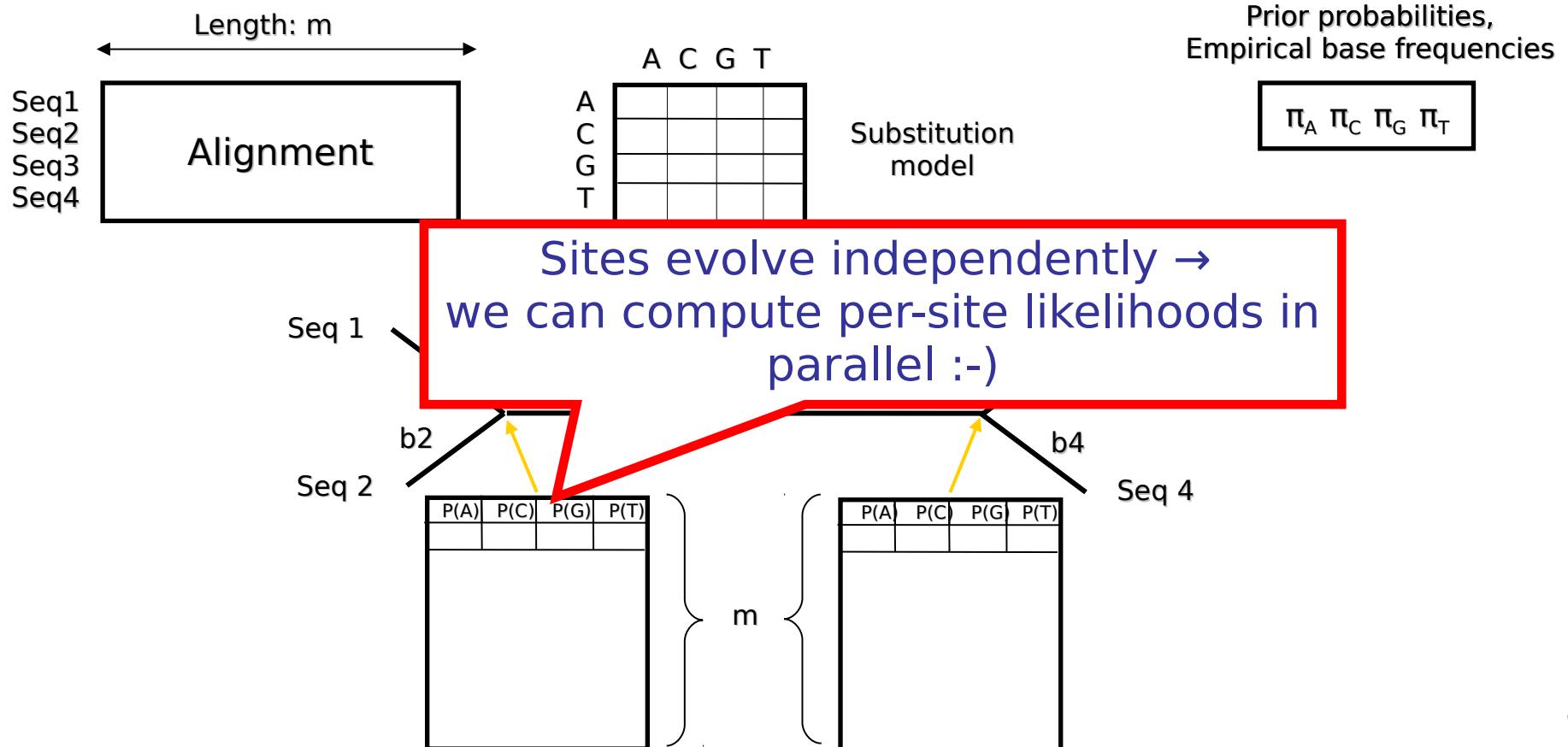
# Maximum Likelihood



# Maximum Likelihood



# Maximum Likelihood



# Outline

- Introduction to Phylogenetic Inference - *Alexandros*
- **The RAxML Search Algorithm** - *Alexandros*
- Improvements in RAxML Next Generation - *Alexey*
- Tutorial - *Alexey*

# How does it work?

Compute randomized stepwise addition order  
Maximum Parsimony tree

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Advantage of RAxML: search starts  
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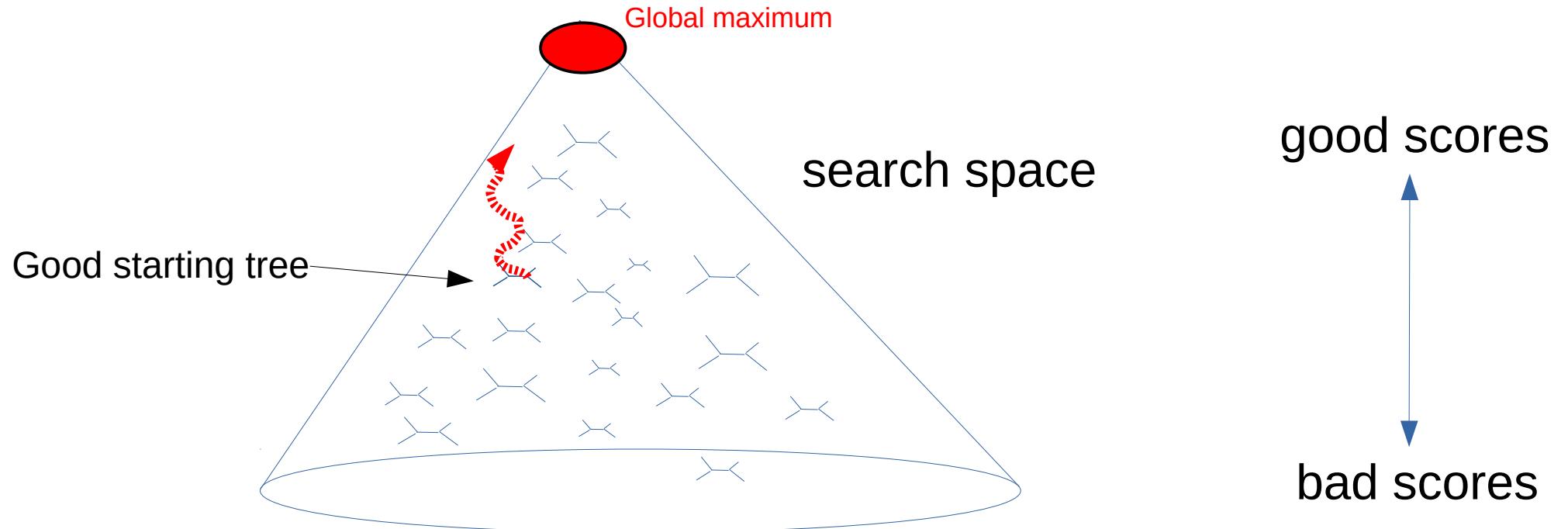
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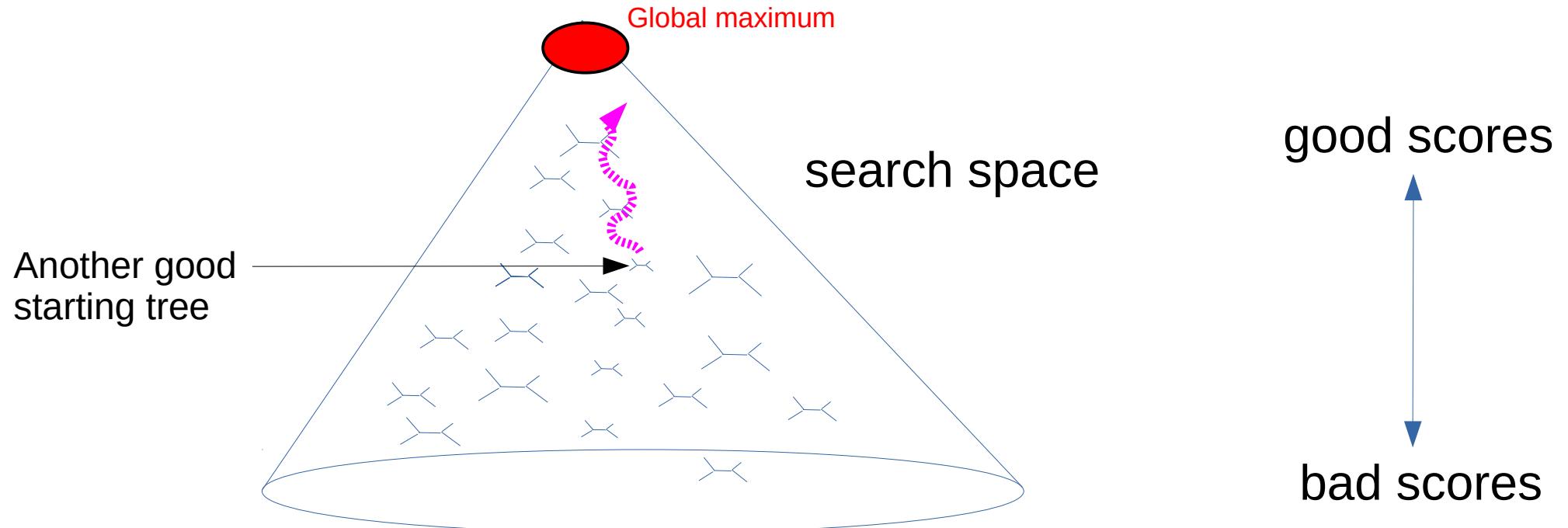
Advantage of RAxML: search starts  
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Alternatively, we can start from  
a completely random tree

# Starting Trees



# Starting Trees



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Compute randomized stepwise addition order  
Maximum Parsimony tree



Apply lazy subtree rearrangements

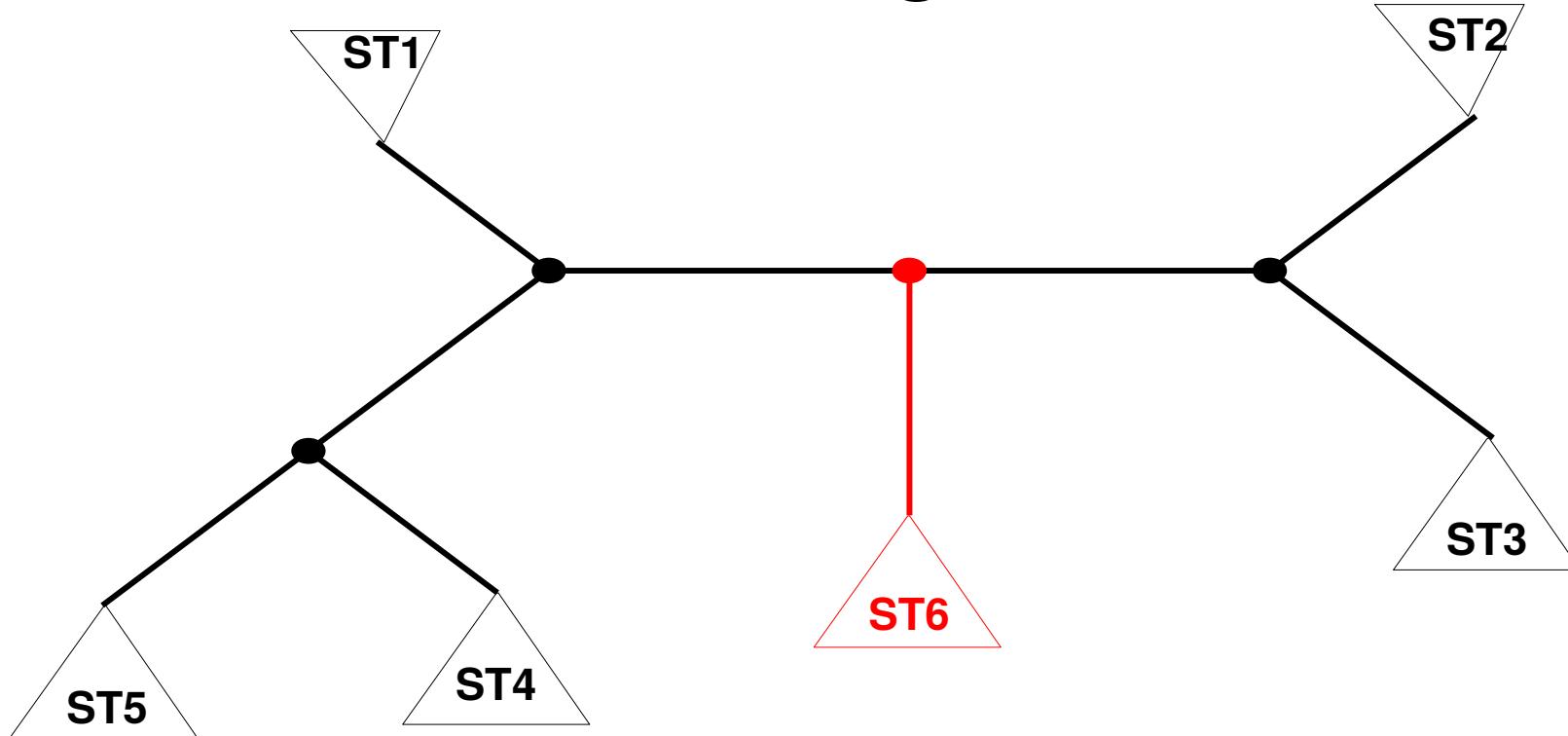
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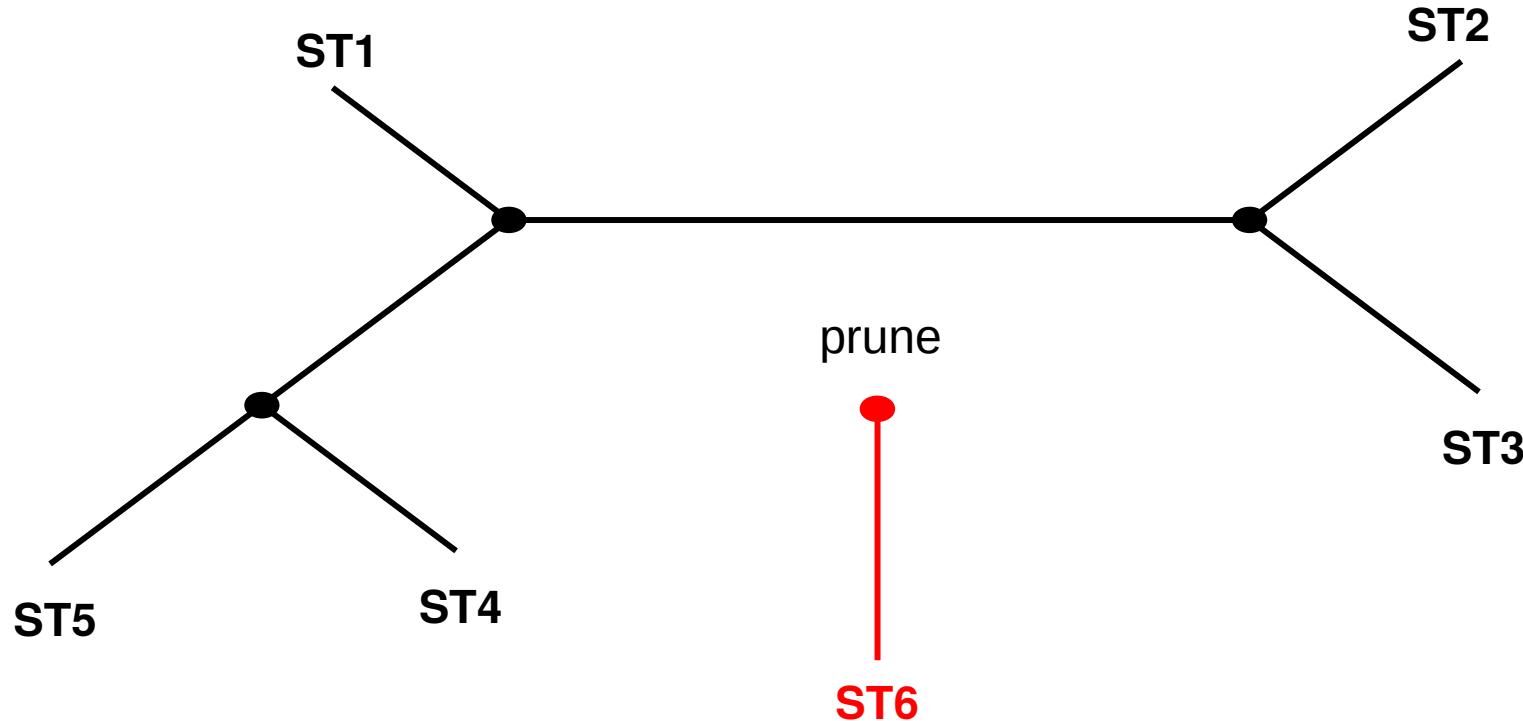
Apply lazy subtree rearrangements

Iterate while tree improves

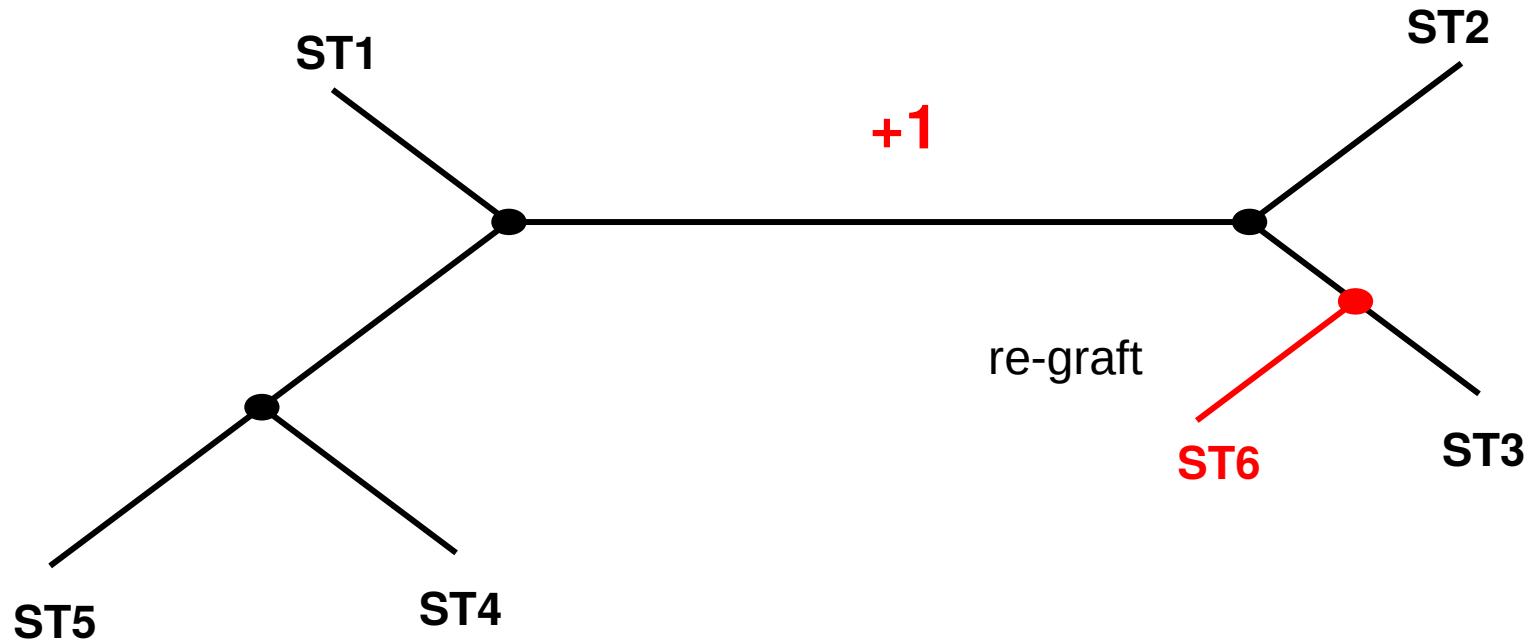
# Subtree Pruning & Re-Grafting



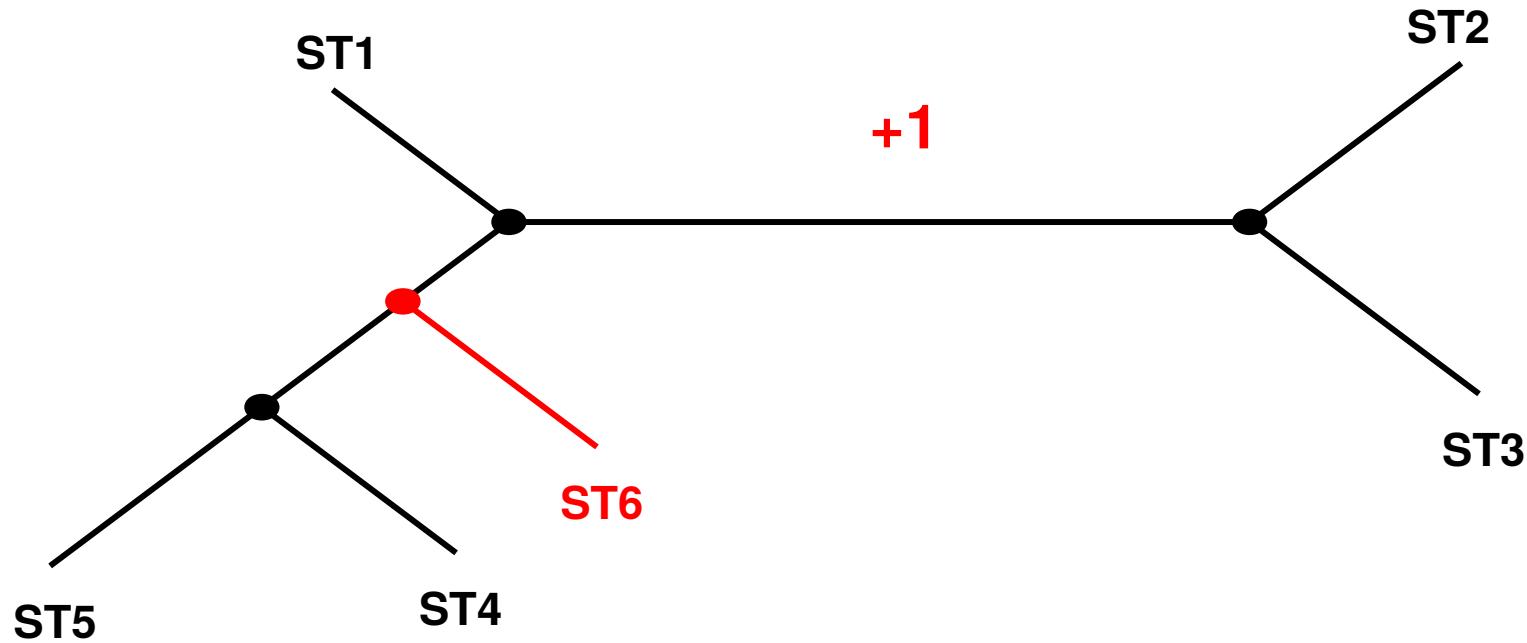
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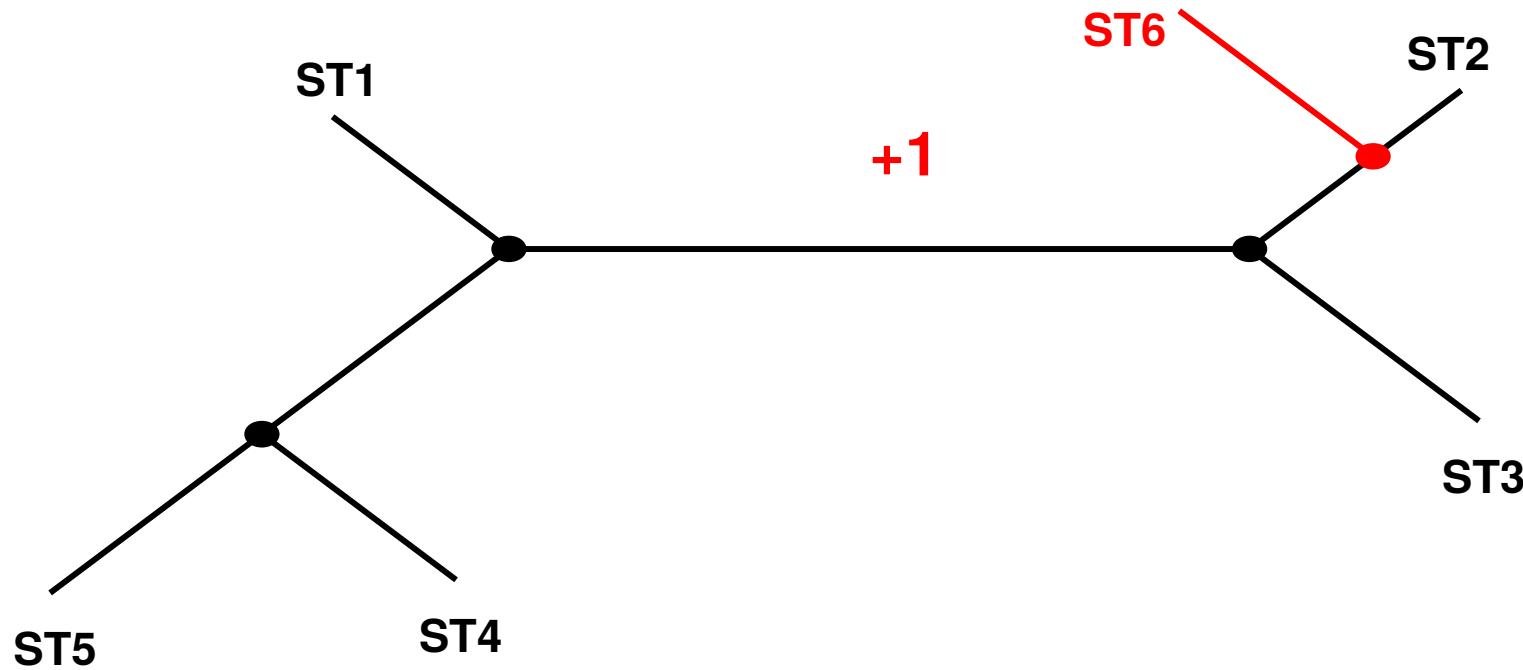
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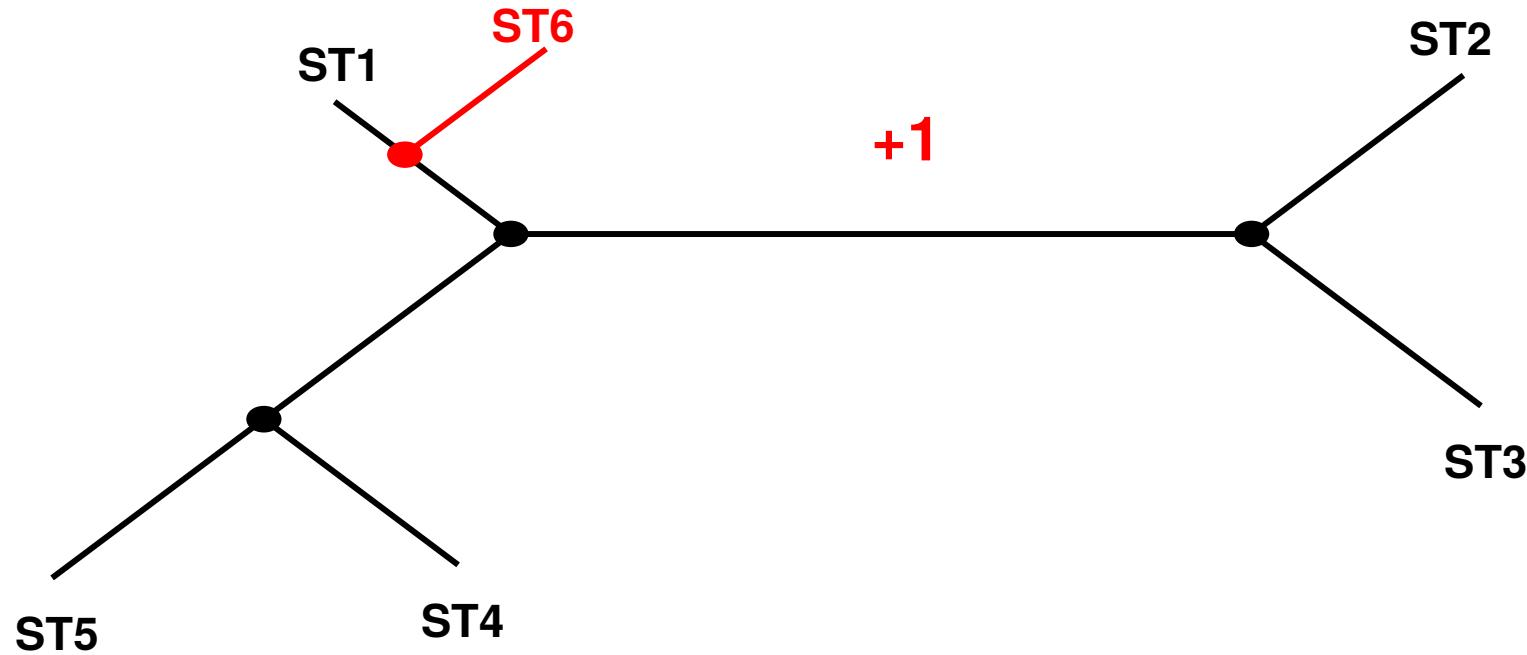
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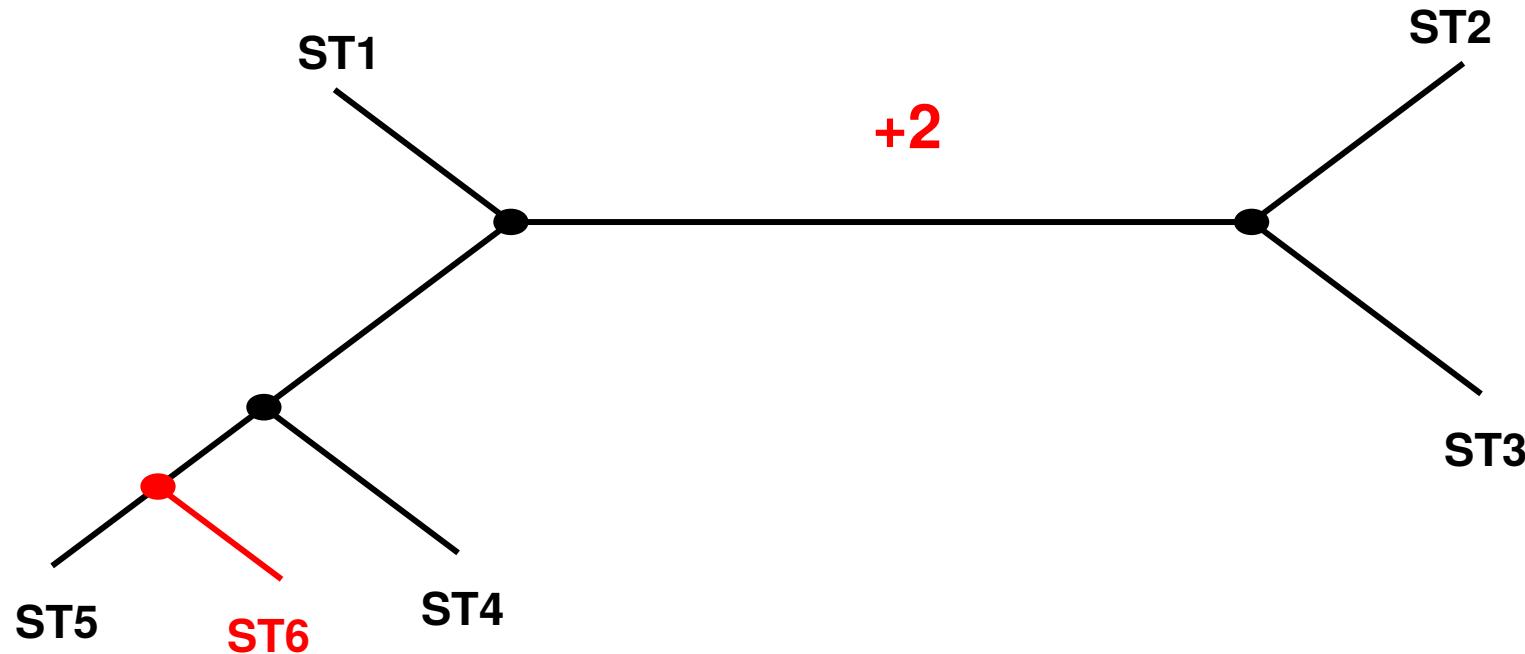
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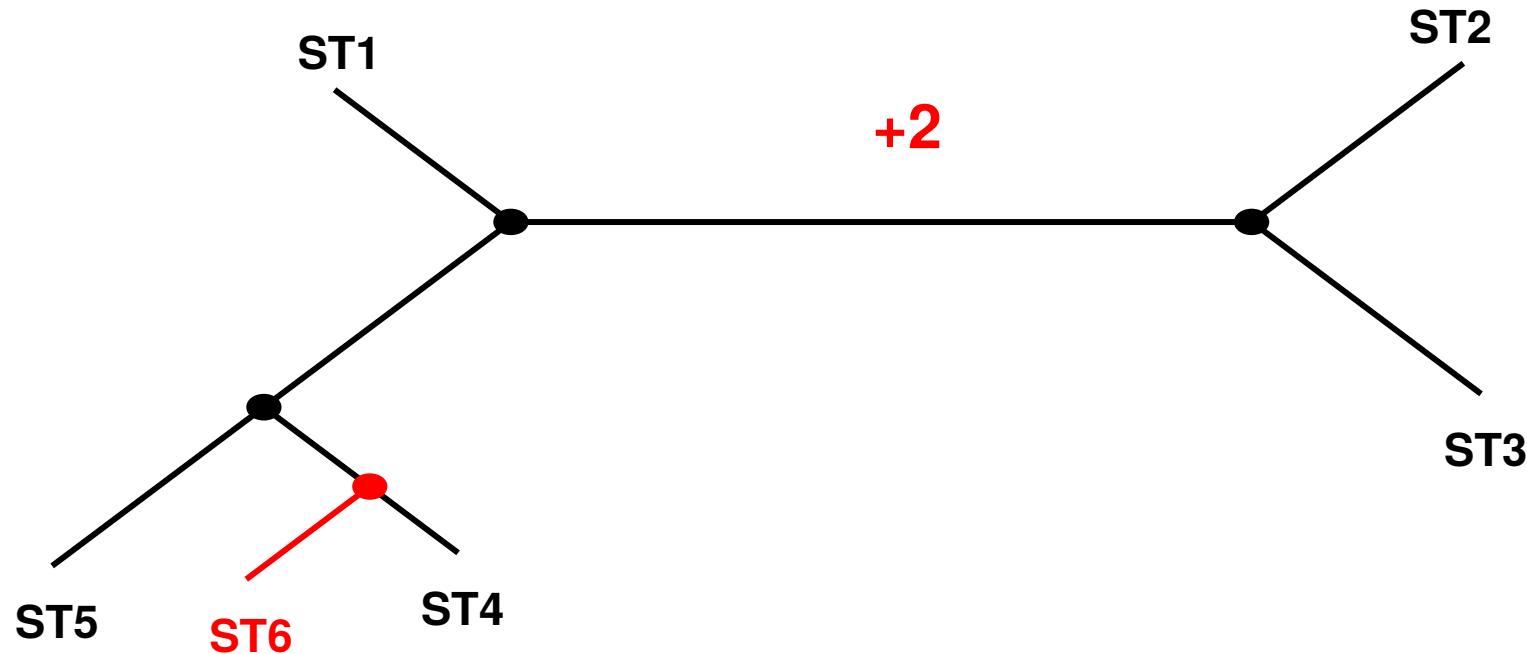
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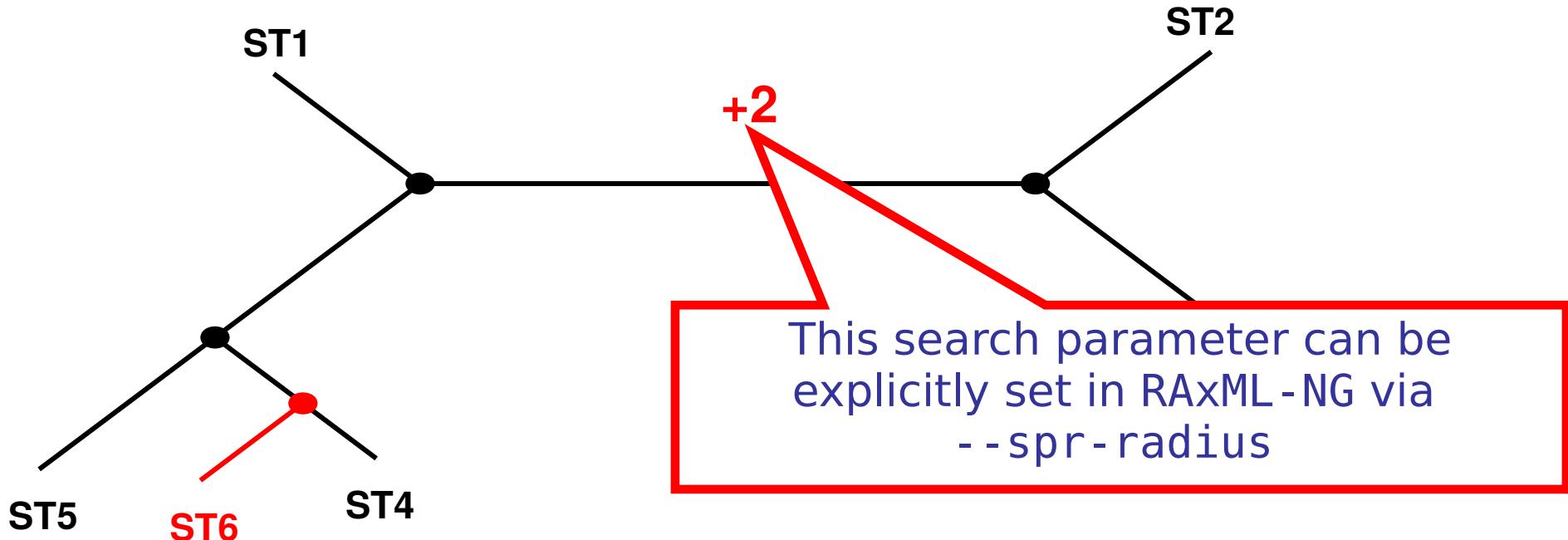
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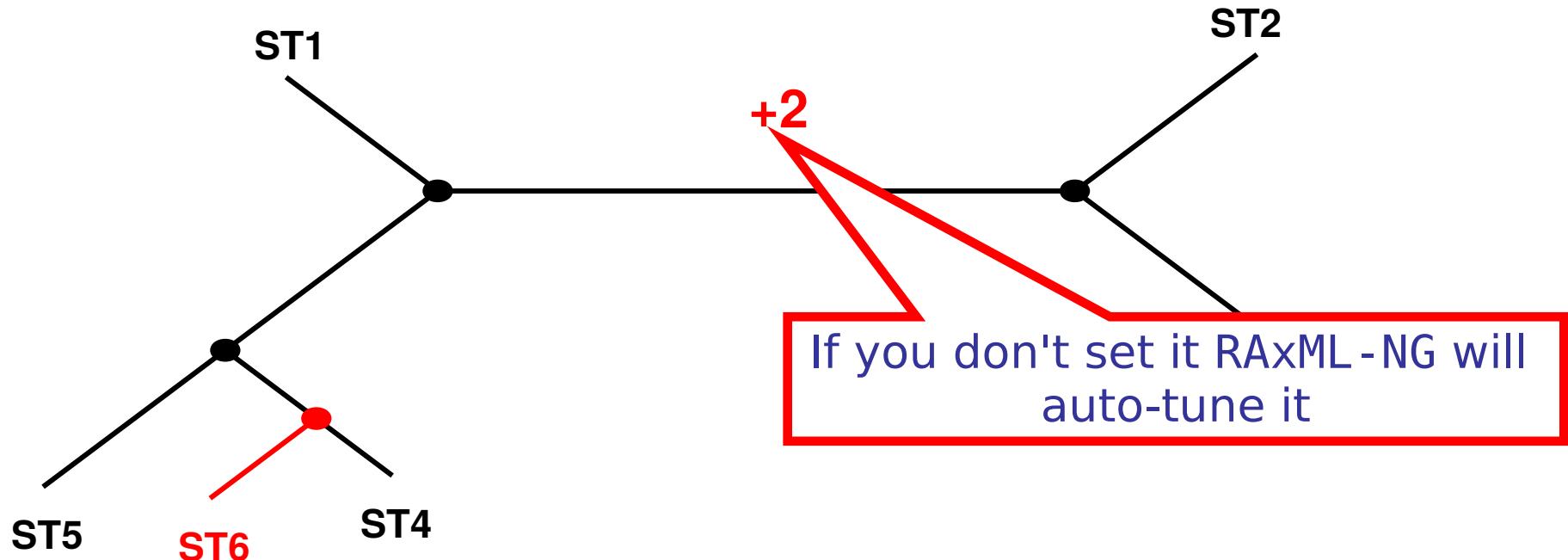
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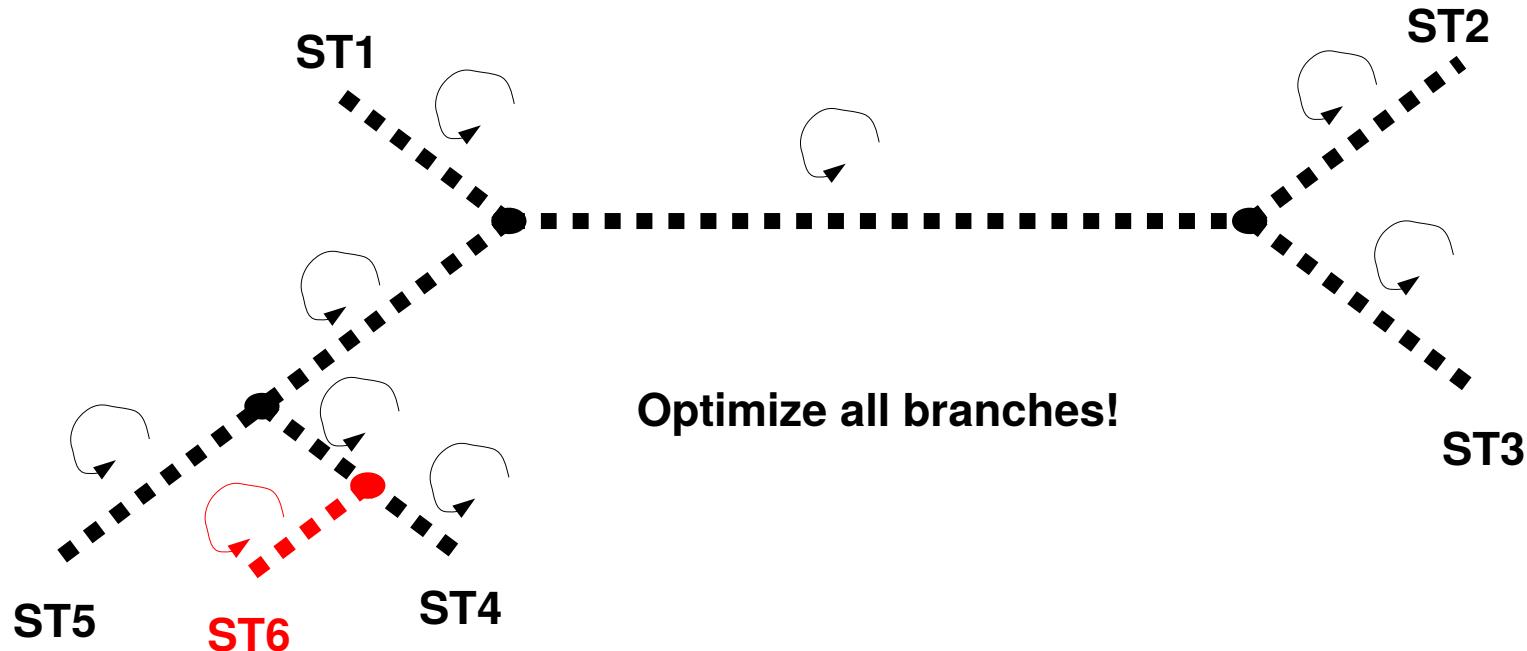
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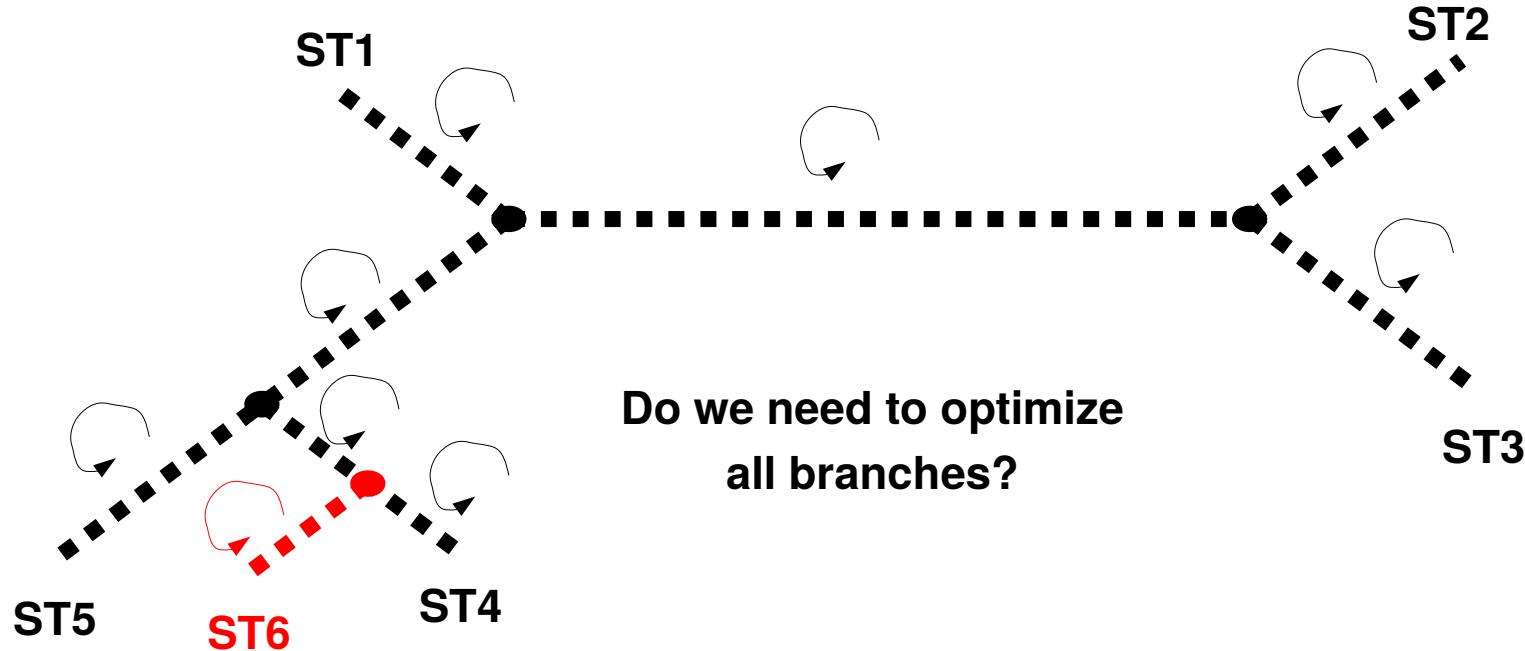
# Subtree Pruning & Re-Grafting



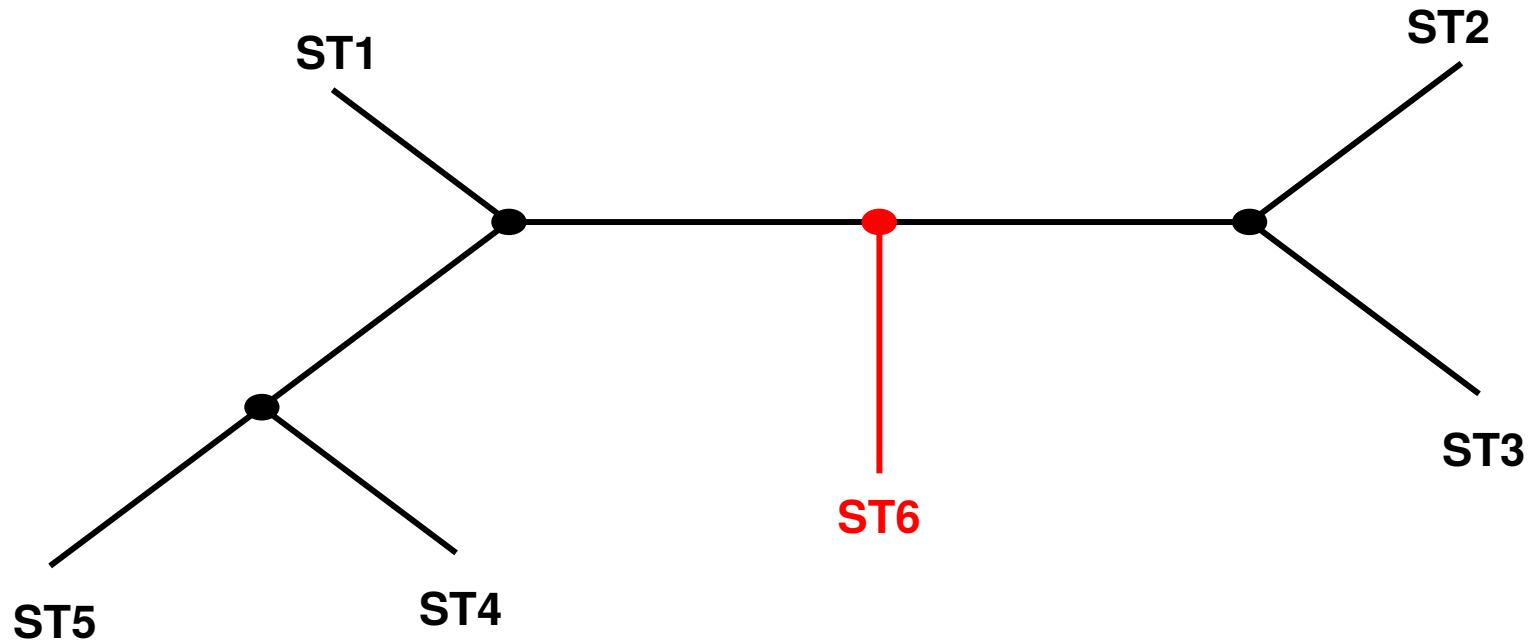
# Subtree Pruning & Re-Grafting



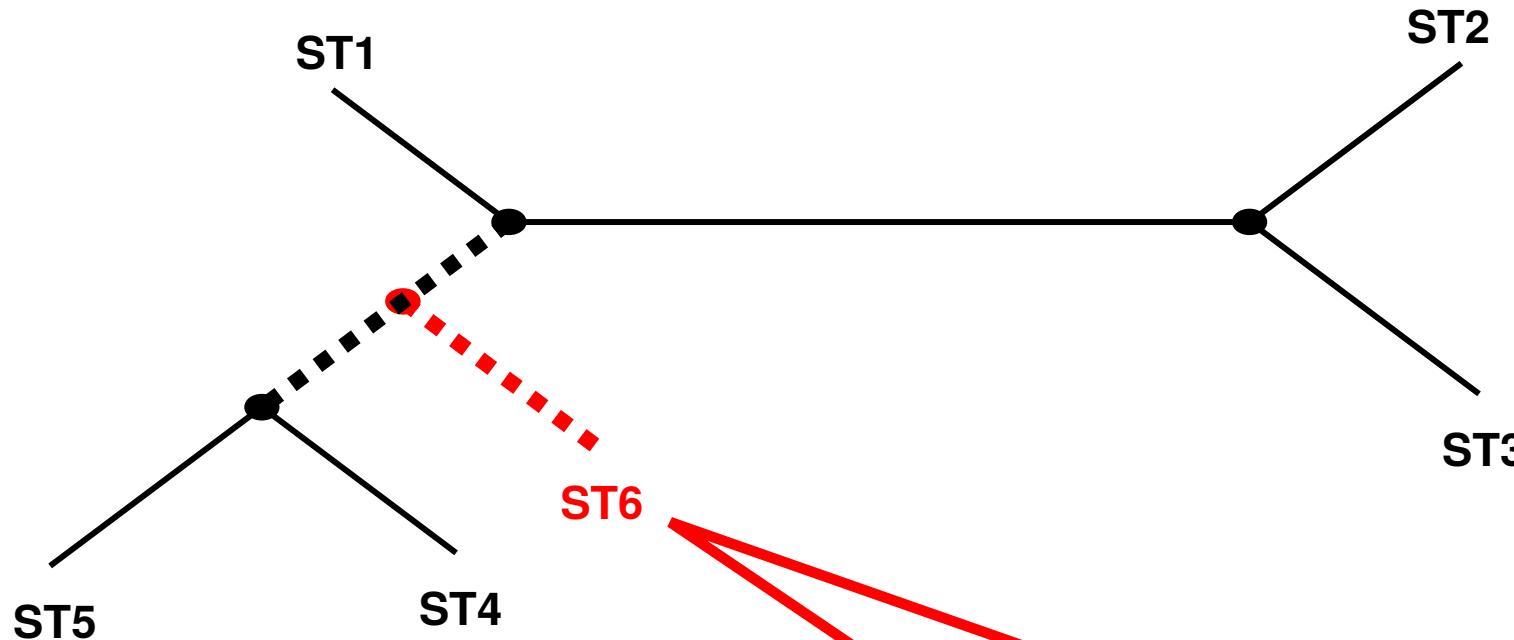
# Subtree Pruning & Re-Grafting



# Lazy Subtree Pruning & Re-Grafting

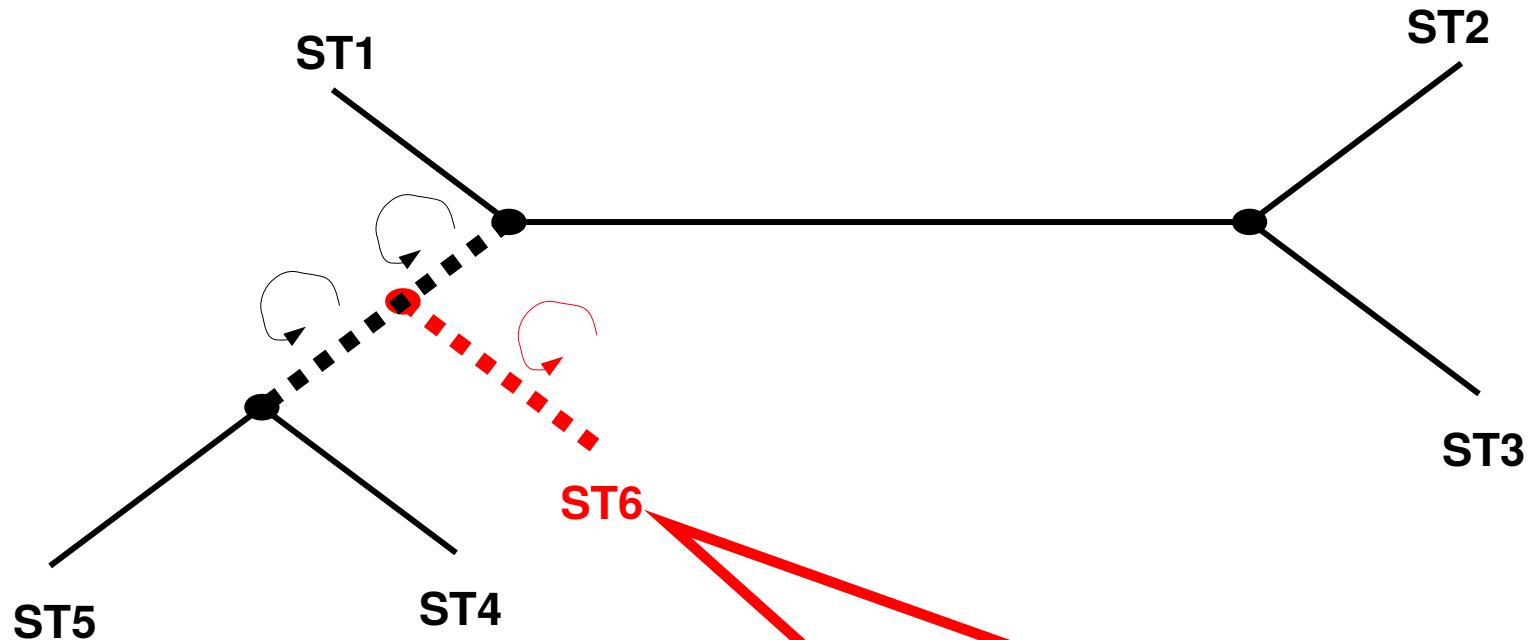


# Lazy Subtree Pruning & Re-Grafting



“FAST” SPR round:  
no branches are optimized

# Lazy Subtree Pruning & Re-Grafting

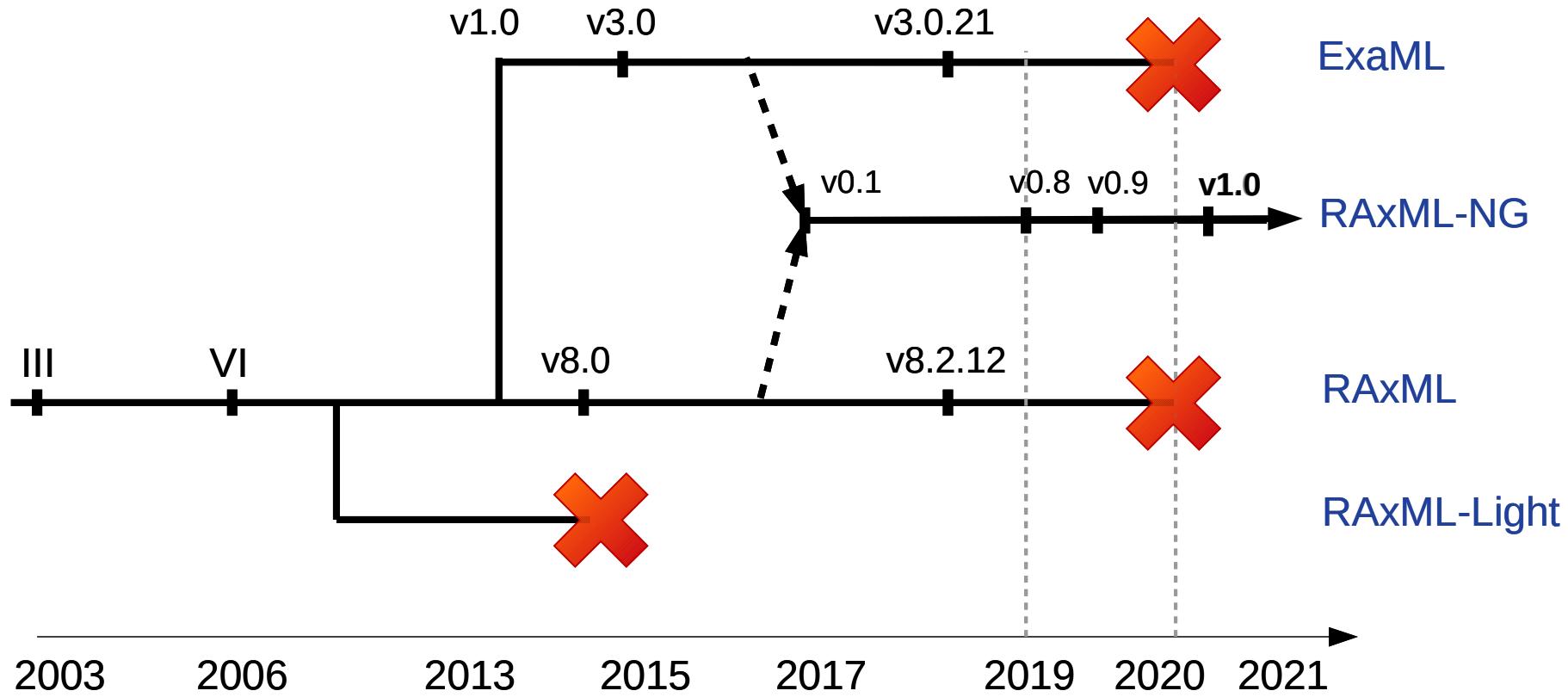


“SLOW” SPR round:  
three adjacent branches are optimized

# Outline

- Introduction to Phylogenetic Inference - *Alexandros*
- The RAxML Search Algorithm - *Alexandros*
- **Improvements in RAxML Next Generation - Alexey**
- Tutorial - *Alexey*

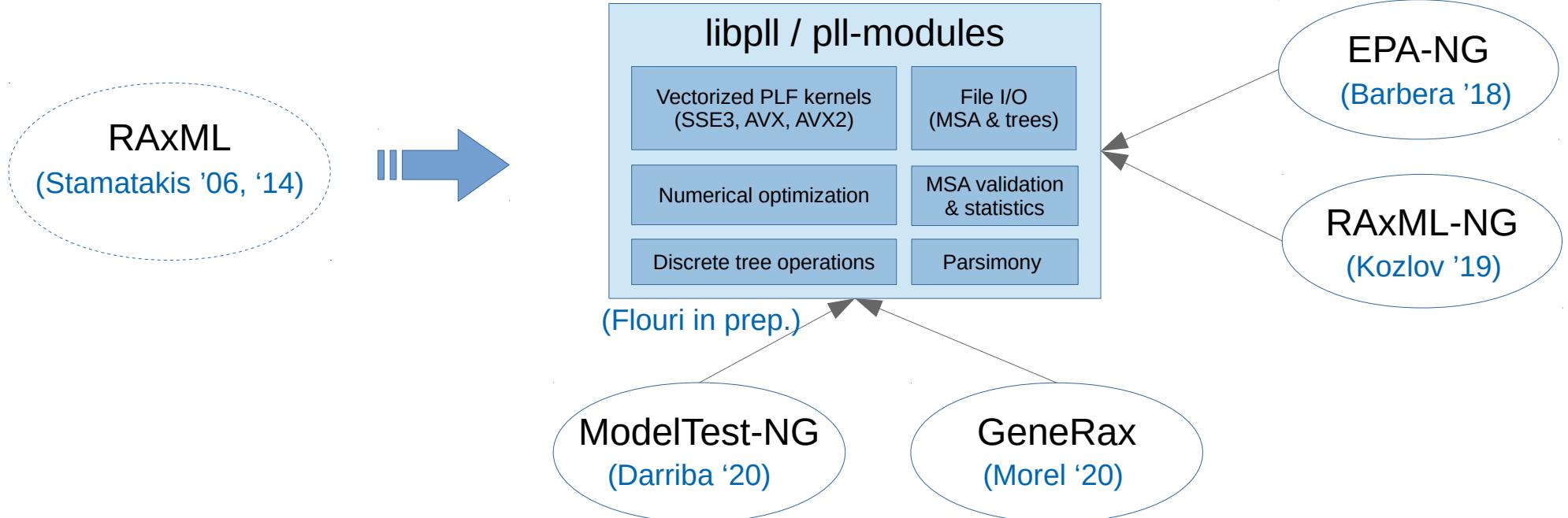
# Evolution of RAxML(-NG)



# RAXML-NG design goals

- Full rewrite of RAxML
  - Search heuristic largely unchanged (as of v1.0)
- Improve maintainability & enable code reuse
- Eliminate known bugs & bottlenecks
- Improve user experience
  - by default, “do the right thing”

# RAXML-NG & family



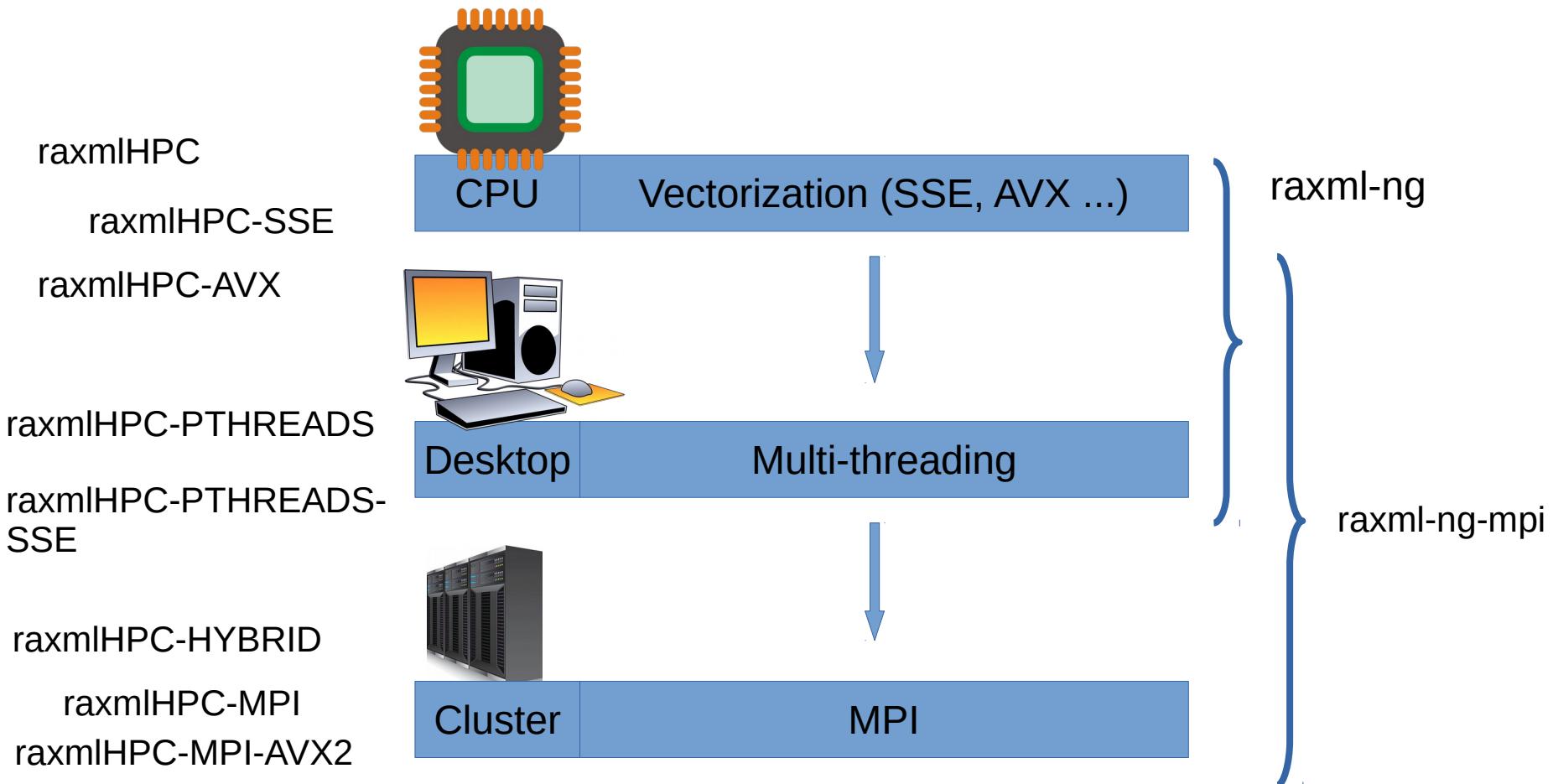
# Improvements & new features

- Flexible evolutionary models
  - All “classical” + custom DNA models (eg. DNA010010 = HKY)
  - Per-partition rate heterogeneity (incl. FreeRate)
  - Proportional branch lengths
- Phylogenetic terrace detection ([Biczok ‘17](#))
- Transfer bootstrap support metric ([Lemoine ‘18](#))
- Energy monitoring

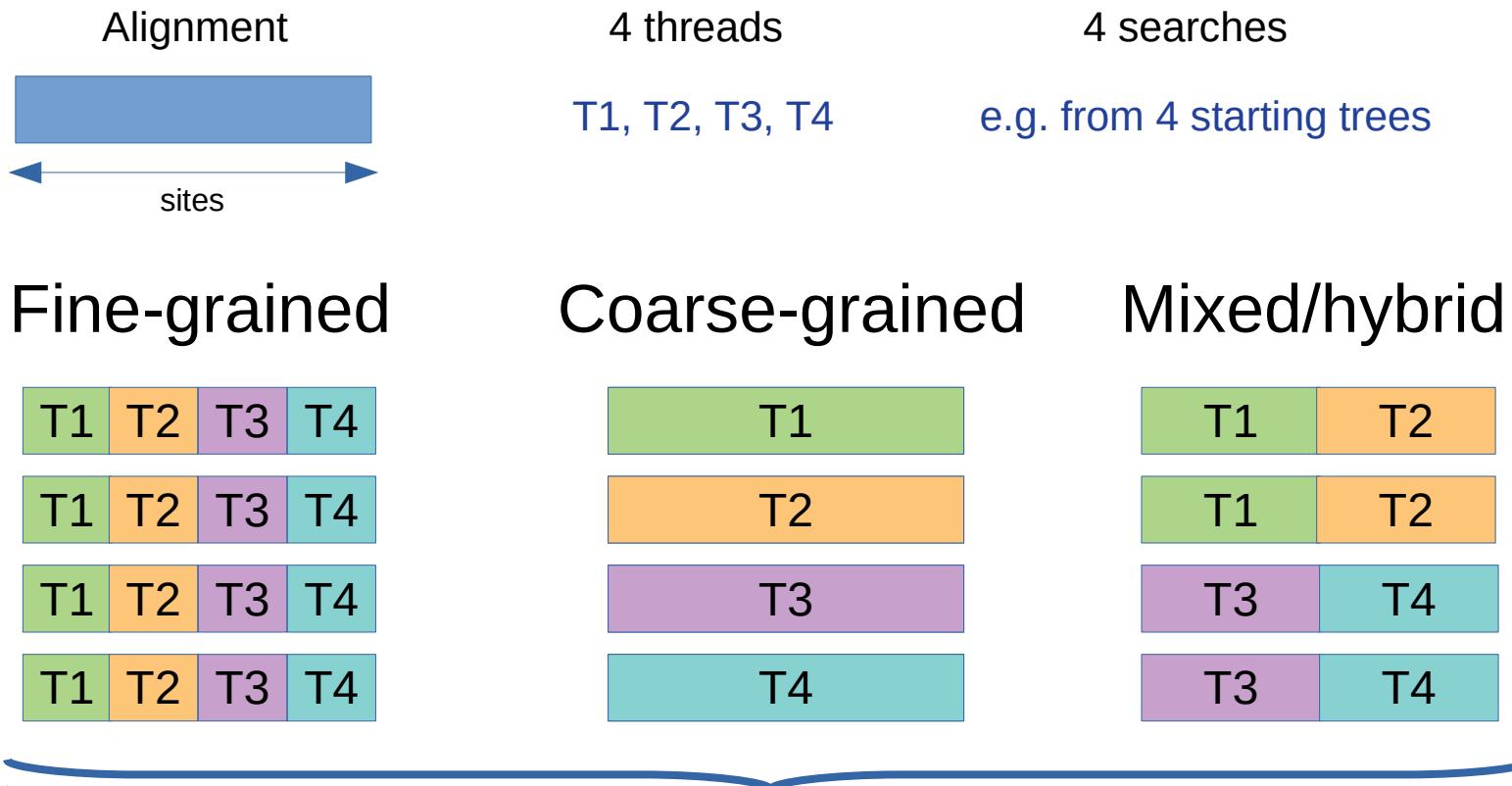
# Performance & scalability

- Checkpointing
  - Advanced load balancing
  - Binary alignment format
  - “Site repeats” optimization ([Kobert ‘17](#))
    - 10-60% speedup + memory savings
  - Flexible and user-friendly parallelization
- 
- from ExaML

# Parallelization: hardware



# Parallelization: software



New in v1.0: Full native support and automatic configuration!

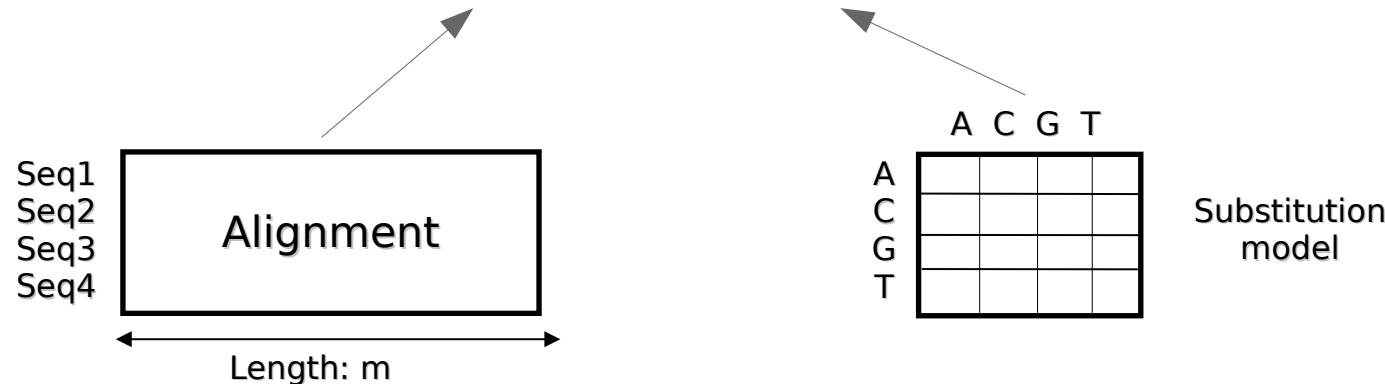
# Outline

- Introduction to Phylogenetic Inference - *Alexandros*
- The RAxML Search Algorithm - *Alexandros*
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- **Tutorial - Alexey**

# Quick start: ML tree search

- Default command: **--search**
  - 20 starting trees (10 random + 10 parsimony)
  - Pick the best-scoring one

```
$ raxml-ng --msa prim.phy --model GTR+G
```



# ML tree search: Output

```
Analysis options:
```

```
  run mode: ML tree search
```

```
  start tree(s): random (10) + parsimony (10)
```

```
...
```

```
Starting ML tree search with 20 distinct starting trees
```

```
[00:00:00 -7871.515760] Initial branch length optimization
```

```
...
```

```
[00:00:00 -5736.644605] FAST spr round 1 (radius: 5)
```

```
...
```

```
[00:00:00 -5709.394601] SLOW spr round 1 (radius: 5)
```

```
...
```

```
[00:00:00] ML tree search #1, logLikelihood: -5708.979717
```

```
...
```

```
[00:00:07] ML tree search #20, logLikelihood: -5709.014076
```

--log info to hide search progress

```
Final LogLikelihood: -5708.923977
```

```
Best ML tree saved to: /home/alexey/test/prim.phy.raxml.bestTree
```

```
Optimized model saved to: /home/alexey/test/prim.phy.raxml.bestModel
```

# Tree with support values

- All-in-one mode: **--all**
  - ML tree search (as before)
  - Bootstrapping with autoMRE convergence test
  - Compute support values + map on ML tree

```
$ raxml-ng --all --msa prim.phy --model GTR+G --prefix A1 --seed 1
```

Output files: A1.raxml.\*

Fixed RNG seed  
for better reproducibility

# Tree with support values: Output

```
Analysis options:
```

```
run mode: ML tree search + bootstrapping (Felsenstein Bootstrap)
start tree(s): random (10) + parsimony (10)
bootstrap replicates: max: 1000 + bootstopping (autoMRE, cutoff: 0.030000)
```

```
Starting ML tree search with 20 distinct starting trees
```

```
...
```

```
[00:00:02] ML tree search completed, best tree logLH: -5708.926130
```

```
[00:00:02] Starting bootstrapping analysis with 1000 replicates.
```

```
...
```

```
[00:00:14] Bootstrapping converged after 100 replicates.
```

```
Best ML tree with Felsenstein bootstrap (FBP) support values saved to:
```

```
/home/alexey/test/A1.raxml.support
```

```
...
```

```
Bootstrap trees saved to: /home/alexey/test/A1.raxml.bootstraps
```

# Customize analysis

- Starting trees: **--tree**

```
--tree rand{1}
```

```
--tree pars{50},rand{50}
```

```
--tree user.nw
```

- Bootstrap replicates: **--bs-trees**

```
--bs-trees 100
```

```
--bs-trees autoMRE{500}
```

```
--bs-trees bs.bw
```

- Branch length linkage mode: **--brlen**

```
--brlen linked
```

```
--brlen scaled
```

```
--brlen unlinked
```

- Branch length limits: **--blmin / --blmax**

```
--blmin 1e-9
```

```
--blmax 10
```

# Tree likelihood evaluation

- Optimize free model parameters and branch lengths on a fixed topology: **--evaluate**

```
$ raxml-ng --evaluate --msa prim.phy --tree A1.raxml.bestTree --model GTR+G --prefix E1
```

```
Evaluating 1 trees

[00:00:00] Tree #1, initial LogLikelihood: -6419.996676 ←
[00:00:00 -6419.996676] Initial branch length optimization
[00:00:00 -6276.983451] Model parameter optimization (eps = 0.100000)

[00:00:00] Tree #1, final logLikelihood: -5709.005148 ←
...
Best ML tree saved to: /home/alexey/test/E1.raxml.bestTree
Optimized model saved to: /home/alexey/test/E1.raxml.bestModel
```

# Tree likelihood evaluation (2)

- Compute and print tree log-likelihood: **--loglh**
  - No branch length optimization
  - No model optimization
  - No output files created

```
$ raxml-ng --loglh --msa prim.phy --tree A1.raxml.bestTree --model GTR{1/2/3/4/5/6}+G{0.5}
Final LogLikelihood: -6334.023267
```

# Check & parse

- Check alignment for format errors: **--check**

```
$ raxml-ng --check --msa prim.phy -model GTR+G
```

- Compress alignment into binary file: **--parse**

```
$ raxml-ng --parse --msa prim.phy --model GTR+G --prefix prim
```

- ...which can be then used in parallel jobs

```
$ raxml-ng --search --msa prim.raxml.rba --prefix S1
```

# Parallelization tuning

- Fully automatic (default) → heuristic-based

```
$ raxml-ng --msa prim.rba  
  
System: Intel(R) Xeon(R) CPU E5-2630 v3 @ 2.40GHz, 16 cores, 62 GB RAM  
...  
Analysis options:  
  run mode: ML tree search  
  start tree(s): random (10) + parsimony (10) ←  
...  
  parallelization: coarse-grained (auto), PTHREADS (auto)  
...  
[00:00:00] Alignment comprises 12 taxa, 1 partitions and 413 patterns  
...  
Parallelization scheme autoconfig: 16 worker(s) x 1 thread(s) ←  
...  
[00:00:00] Data distribution: max. partitions/sites/weight per thread: 1 / 413 / 6608  
[00:00:00] Data distribution: max. searches per worker: 2 ←
```

# Parallelization tuning

- Automatic with upper limits

```
$ raxml-ng --msa prim.rba --threads auto{16} --workers auto{2}
```

- Manual

```
$ raxml-ng --msa prim.rba --threads 16 --workers 2
```

- Also works with MPI

```
$ mpirun -n 4 raxml-ng-mpi --msa prim.rba --threads 16 --workers 8
```

$$4 \text{ ranks} * 16 \text{ threads} = 64 = 8 \text{ workers} * 8 \text{ threads}$$

# Energy monitoring

- New in RAxML-NG v1.0: energy usage report
  - Measured with Intel RAPL → CPU+DRAM only
  - Supported on Linux systems only
  - To disable, add: **--extra energy-off**

# Energy monitoring

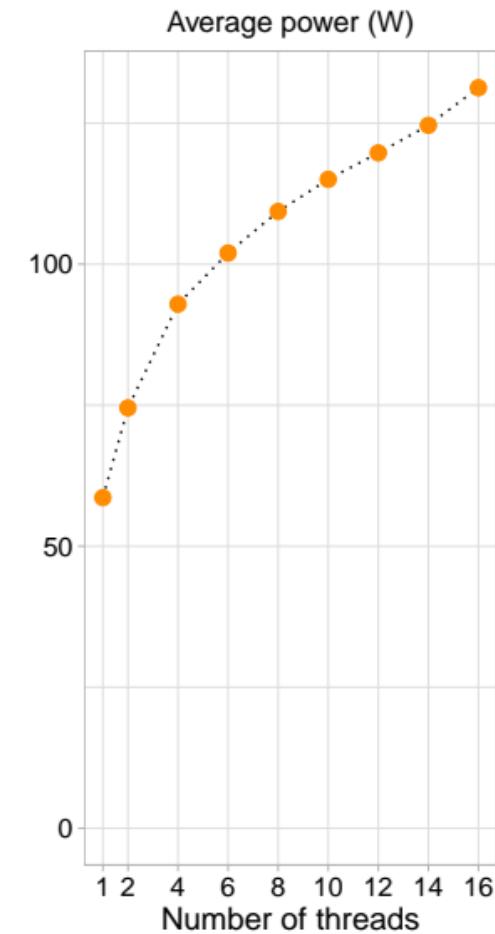
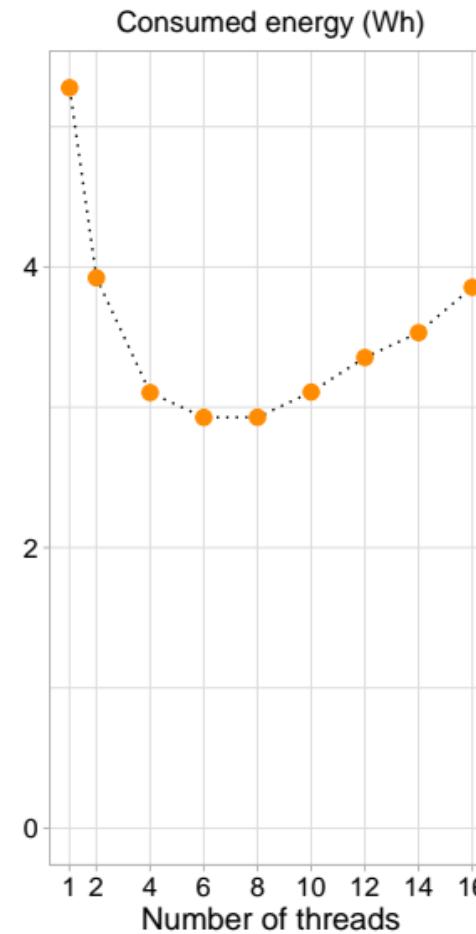
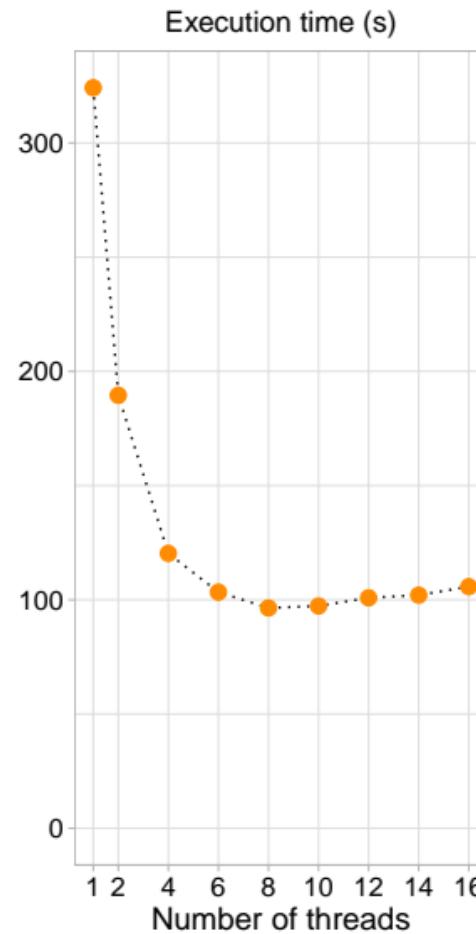
- New in RAxML-NG v1.0: energy usage report
  - Measured with Intel RAPL → CPU+DRAM only
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```
Elapsed time: 42846.287 seconds
```

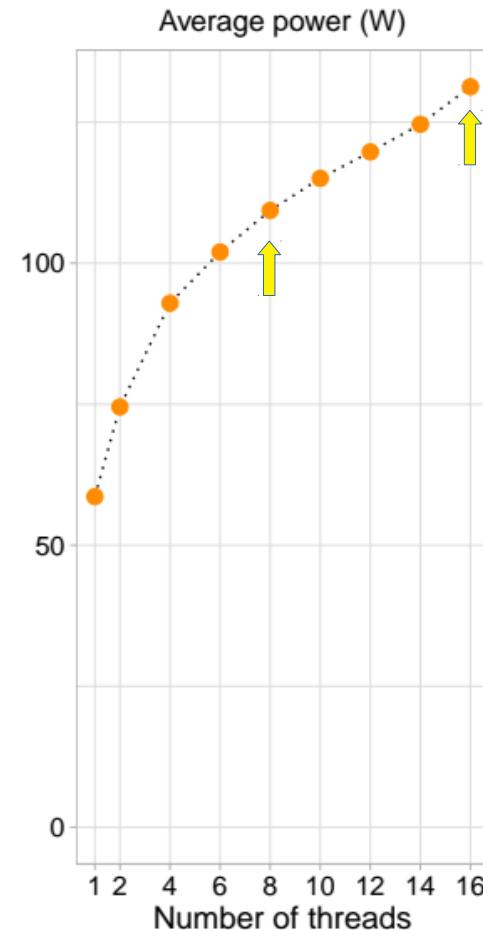
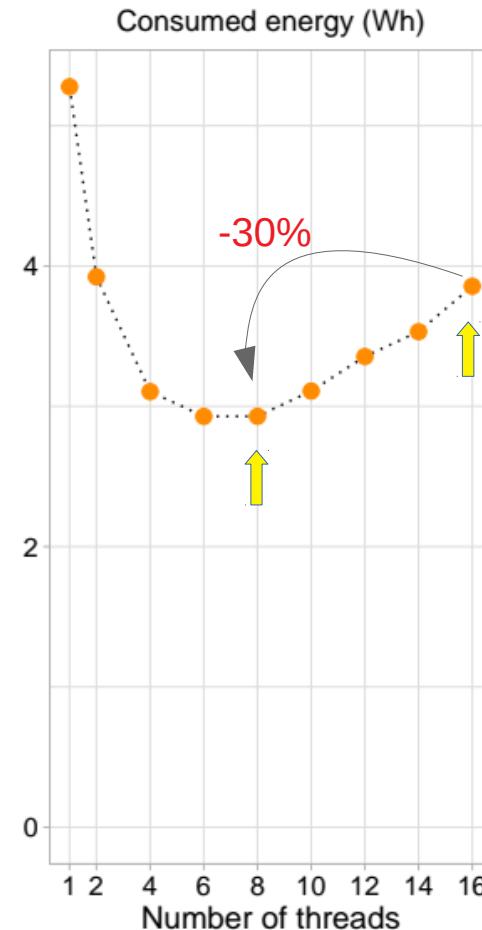
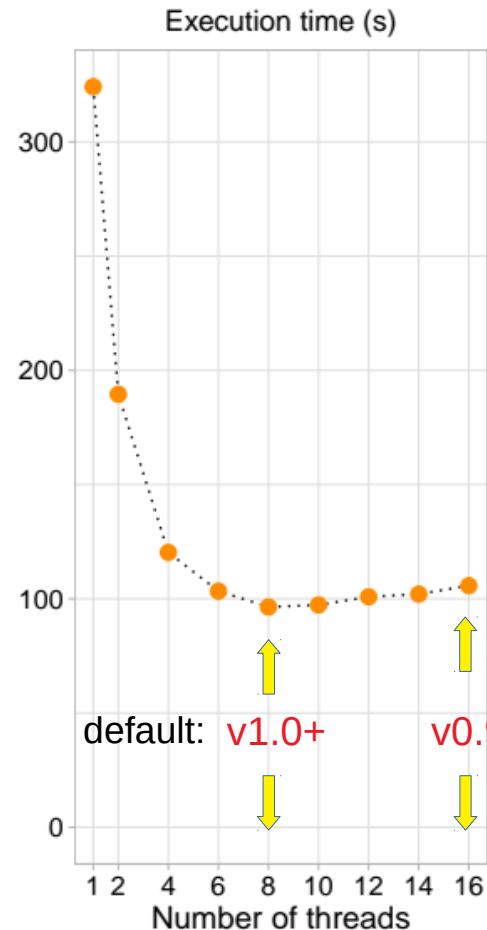
```
Consumed energy: 162370.469 Wh (= 812 km in an electric car, or 4059 km with an e-scooter!)
```

Single tree search (96 nodes x 12h): >160 kWh  
My apartment per month: ~100 kWh

# You can't improve what you can't measure!



# You can't improve what you can't measure!



# RAxML-NG availability

- Web services
  - Vital-IT: <https://raxml-ng.vital-it.ch/#/> → free, for small datasets
  - CIPRES: <http://www.phylo.org/> → registration required
- Source+binaries for Linux & macOS
  - GitHub: <https://github.com/amkozlov/raxml-ng>
- Conda: <https://anaconda.org/bioconda/raxml-ng>
- GUI: <https://github.com/AntonelliLab/raxmlGUI>

# Where to get help?

- Documentation

<https://github.com/amkozlov/raxml-ng/wiki>

- Tutorial

<https://github.com/amkozlov/raxml-ng/wiki/Tutorial>

- User support group

<https://groups.google.com/forum/#!forum/raxml>

Q & A

# References

- Barbera et al. (2018) **EPA-ng: Massively Parallel Evolutionary Placement of Genetic Sequences.** *Systematic Biology*, syy054, <https://doi.org/10.1093/sysbio/syy054>
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- Kobert et al. (2017) Efficient detection of repeating sites to accelerate phylogenetic likelihood calculations. *Syst. Biol* <https://doi.org/10.1093/sysbio/syw075>
- Kozlov et al. (2019) **RAxML-NG: A fast, scalable, and user-friendly tool for maximum likelihood phylogenetic inference.** *Bioinformatics*. <https://doi.org/10.1093/bioinformatics/btz305>
- Kozlov, Aberer, Stamatakis (2015) **ExaML version 3: a tool for phylogenomic analyses on supercomputers.** *Bioinformatics*. <https://doi.org/10.1093/bioinformatics/btv184>
- Lemoine et al. (2018) **Renewing Felsenstein's phylogenetic bootstrap in the era of big data.** *Nature*. <https://doi.org/10.1038/s41586-018-0043-0>
- Morel, Kozlov, Stamatakis (2019) **ParGenes: a tool for massively parallel model selection and phylogenetic tree inference on thousands of genes.** *Bioinformatics*. <https://doi.org/10.1093/bioinformatics/bty839>
- Morel et al (2020). **GeneRax: A tool for species tree-aware maximum likelihood based gene tree inference under gene duplication, transfer, and loss.** *MBE*. <https://doi.org/10.1093/molbev/msaa141>
- Stamatakis (2006) **RAxML-VI-HPC: maximum likelihood-based phylogenetic analyses with thousands of taxa and mixed models.** *Bioinformatics*, <https://doi.org/10.1093/bioinformatics/btl446>
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- Stamatakis and Aberer (2013) **Novel parallelization schemes for large-scale likelihood-based phylogenetic inference.** *In Parallel Distributed Processing (IPDPS)* <https://doi.org/10.1109/IPDPS.2013.70>